

# Lecture Note 7.

## IA: History and Features

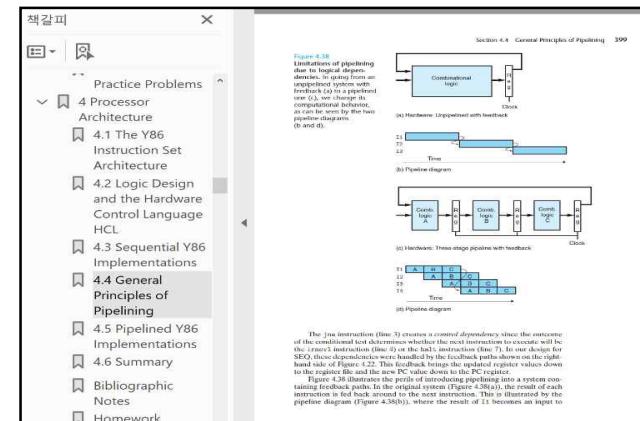
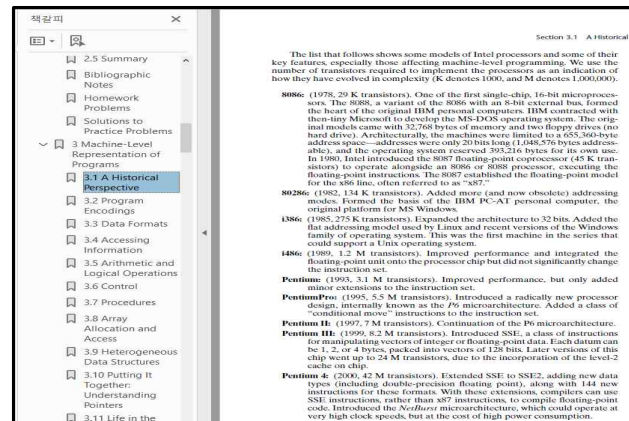
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# Objectives

- Discuss Issues on ISA (Instruction Set Architecture)
  - ✓ Opcode and operand addressing modes
- Apprehend how ISA affects system program
  - ✓ Context switch, memory alignment, stack overflow (buffer overflow)
- Describe the history of IA (Intel Architecture)
- Grasp the key technologies in recent IA
  - ✓ Pipeline and Moore's law
- Refer to Chapter 3, 4 in the CSAPP and Intel SW Developer Manual

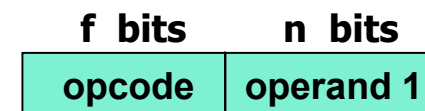
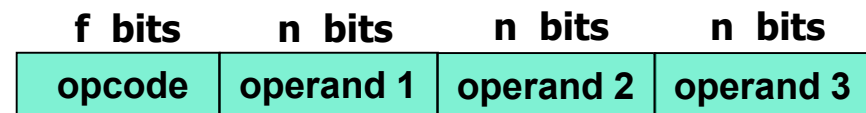


# Issues on ISA (1/2)

## ■ Consideration on ISA (Instruction Set Architecture)

```
asm_sum:    addl    $1, %ecx
            movl   -4(%ebx, %ebp, 4), %eax
            call   func1
            leave
```

- ✓ opcode issues
  - how many? (add vs. inc → RISC vs. CISC)
  - multi functions? (SISD vs. SIMD vs. MIMD ...)
- ✓ operand issues
  - fixed vs. variable operands
  - fixed: how many?
  - operand addressing modes
- ✓ performance issues
  - pipeline
  - superscalar
  - multicore



# Issues on ISA (2/2)

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## ■ Features of IA (Intel Architecture)

- ✓ Basically **CISC** (Complex Instruction Set Computing)
  - Variable length instruction
  - Variable number of operands (0~3)
  - Diverse operand addressing modes
  - Stack based function call
  - Supporting SIMD (Single Instruction Multiple Data)
- ✓ Try to take advantage of **RISC** (Reduced Instruction Set Computing)
  - Micro-operations (for instance, an instruction of “add %eax, a” is divided into three u-ops, and each u-op is executed in a pipeline manner)
  - Load-store architecture
  - Independent multi-units
  - Out-of-order execution
  - Register based function call on x64
  - Register renaming
  - ...





# RISC and CISC summary

## Aside RISC and CISC instruction sets

IA32 is sometimes labeled as a “complex instruction set computer” (CISC—pronounced “sisk”), and is deemed to be the opposite of ISAs that are classified as “reduced instruction set computers” (RISC—pronounced “risk”). Historically, CISC machines came first, having evolved from the earliest computers. By the early 1980s, instruction sets for mainframe and minicomputers had grown quite large, as machine designers incorporated new instructions to support high-level tasks, such as manipulating circular buffers, performing decimal arithmetic, and evaluating polynomials. The first microprocessors appeared in the early 1970s and had limited instruction sets, because the integrated-circuit technology then posed severe constraints on what could be implemented on a single chip. Microprocessors evolved quickly and, by the early 1980s, were following the path of increasing instruction-set complexity set by mainframes and minicomputers. The x86 family took this path, evolving into IA32, and more recently into x86-64. Even the x86 line continues to evolve as new classes of instructions are added based on the needs of emerging applications.

The RISC design philosophy developed in the early 1980s as an alternative to these trends. A group of hardware and compiler experts at IBM, strongly influenced by the ideas of IBM researcher John Cocke, recognized that they could generate efficient code for a much simpler form of instruction set. In fact, many of the high-level instructions that were being added to instruction sets were very difficult to generate with a compiler and were seldom used. A simpler instruction set could be implemented with much less hardware and could be organized in an efficient pipeline structure, similar to those described later in this chapter. IBM did not commercialize this idea until many years later, when it developed the Power and PowerPC ISAs.

The RISC concept was further developed by Professors David Patterson, of the University of California at Berkeley, and John Hennessy, of Stanford University. Patterson gave the name RISC to this new class of machines, and CISC to the existing class, since there had previously been no need to have a special designation for a nearly universal form of instruction set.

Comparing CISC with the original RISC instruction sets, we find the following general characteristics:

CISC	Early RISC
A large number of instructions. The Intel document describing the complete set of instructions [28, 29] is over 1200 pages long.	Many fewer instructions. Typically less than 100.
Some instructions with long execution times. These include instructions that copy an entire block from one part of memory to another and others that copy multiple registers to and from memory.	No instruction with a long execution time. Some early RISC machines did not even have an integer multiply instruction, requiring compilers to implement multiplication as a sequence of additions.

CISC	Early RISC
Variable-length encodings. IA32 instructions can range from 1 to 15 bytes.	Fixed-length encodings. Typically all instructions are encoded as 4 bytes.
Multiple formats for specifying operands. In IA32, a memory operand specifier can have many different combinations of displacement, base and index registers, and scale factors.	Simple addressing formats. Typically just base and displacement addressing.
Arithmetic and logical operations can be applied to both memory and register operands.	Arithmetic and logical operations only use register operands. Memory referencing is only allowed by <i>load</i> instructions, reading from memory into a register, and <i>store</i> instructions, writing from a register to memory. This convention is referred to as a <i>load/store architecture</i> .
Implementation artifacts hidden from machine-level programs. The ISA provides a clean abstraction between programs and how they get executed.	Implementation artifacts exposed to machine-level programs. Some RISC machines prohibit particular instruction sequences and have jumps that do not take effect until the following instruction is executed. The compiler is given the task of optimizing performance within these constraints.
Condition codes. Special flags are set as a side effect of instructions and then used for conditional branch testing.	No condition codes. Instead, explicit test instructions store the test results in normal registers for use in conditional evaluation.
Stack-intensive procedure linkage. The stack is used for procedure arguments and return addresses.	Register-intensive procedure linkage. Registers are used for procedure arguments and return addresses. Some procedures can thereby avoid any memory references. Typically, the processor has many more (up to 32) registers.

More recent CISC machines also take advantage of high-performance pipeline structures. As we will discuss in Section 5.7, they fetch the CISC instructions and dynamically translate them into a sequence of simpler, RISC-like operations. For example, an instruction that adds a register to memory is translated into three operations: one to read the original memory value, one to perform the addition, and a third to write the sum to memory. Since the dynamic translation can generally be performed well in advance of the actual instruction execution, the processor can sustain a very high execution rate.

(Source: CSAPP Chapter 4)



# Operand addressing modes (1/5)

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- Addressing modes
  - ✓ Immediate addressing
  - ✓ Register addressing
  - ✓ Register Indirect addressing
  - ✓ Direct (Absolute) addressing
  - ✓ Indirect addressing
  - ✓ Base plus Offset addressing
  - ✓ Base plus Index addressing
  - ✓ Base plus Scaled Index addressing
  - ✓ Base plus Scaled Index plus Offset addressing
  - ✓ Stack addressing



# Operand addressing modes (2/5)

## ■ Subtle differences in operand

```
choijm@localhost:~/syspro_examples/chap6
/* 어셈블리 예제 : 독립 프로그램 */
/* 11월 3일 choijm@dku.edu */

    .data
a:
    .long    10
arg:
    .string  "Sum from 1 to %d is %d\n"

    .text
.global main
main:
    pushl   %ebp
    movl   %esp, %ebp

    pushl   a
    call   asm_sum
    addl   $4, %esp

    pushl   %eax
    pushl   a
    pushl   $arg
    call   printf
    addl   $12, %esp

    leave
    ret

.global asm_sum
asm_sum:
    pushl   %ebp
    movl   %esp, %ebp
    subl   $4, %esp

    movl   8(%ebp), %ecx    # count 변수 초기화
    movl   $0, -4(%ebp)

L1:
    cmpl   $0, %ecx
    je     L2
    addl   %ecx, -4(%ebp)
    decl   %ecx
    jmp    L1

L2:
    movl   -4(%ebp), %eax  # return value
    leave
    ret

~
"asm_sum_standalone.s" 46 줄 --100%--
```

```
choijm@localhost:~/syspro_examples/chap6
[choijm@localhost chap6]$ vi asm_sum_standalone.s
[choijm@localhost chap6]$ ls asm_sum_standalone.s
asm_sum_standalone.s
[choijm@localhost chap6]$ gcc asm_sum_standalone.s
[choijm@localhost chap6]$ ./a.out
Sum from 1 to 10 is 55
[choijm@localhost chap6]$
```

When we use 12, instead of \$12?

When we add \$ in front of a?

When we use (%eax), instead of %eax?





# Operand addressing modes (3/5)

## ■ Operand Addressing in IA

- ✓ immediate operand

```
addl $0x12, %eax
```

- ✓ register operand

```
addl %esp, %ebp
```

- ✓ Memory operand

- direct addressing

```
addl 0x8049384, %eax
```

- register indirect addressing

```
addl (%ebp), %eax
```

- Base plus offset addressing

```
addl 4(%ebp), %eax
```

- Base plus Scaled index plus offset addressing

```
addl 4(%ebp, %eax, 4), %ebx
```

displacement(base, index, scale)





# Operand addressing modes (4/5)

## ■ Example

- ✓ Base plus Scaled index plus offset

Base		Index		Scale Factor		Displacement
EAX		EAX				
EBX		EBX		1		None
ECX		ECX				
EDX		EDX		2		8-bit
ESI	+	ESI	×		+	
EDI		EDI		3		16-bit
EBP		EBP				
ESP		None		4		32-bit
None						

```
choijm@sungmin-Samsung-DeskTop-System: ~/syspro/chap7
1 /* Based-index addressing example by choijm, Nov. 5th */
2 .data
3 .size array, 40
4 array:
5 .long 2
6 .long 3
7 .long 4
8 .long 3
9 .long 7
10 .long 6
11 .long 9
12 .long 2
13 .long 8
14 .long 9
15 P_arg:
16 .string "Sum of array = %d\n"
17 .text
18 .globl main
19 .type main, @function
20 main:
21 pushl $ebp
22 movl $esp, %ebp
23 subl $8, %esp
24
25 movl $0, %ecx
26 movl $0, %eax
27 movl $array, %ebx
28 LOOP:
29 cmpl $9, %ecx
30 jg LOOP_OUT
31 addl 0(%ebx, %ecx, 4), %eax
32 addl $1, %ecx
33 jmp LOOP
34 LOOP_OUT:
35 pushl %eax
36 pushl $P_arg
37 call printf
38 addl $8, %esp
39 leave
40 ret

"addressing.s" 40L, 527C
```

if 4(%ebx, %ecx, 4) ?

# Operand addressing modes (5/5)

## ■ Summary

Type	Form	Operand value	Name
Immediate	$\$Imm$	$Imm$	Immediate
Register	$E_x$	$R[E_x]$	Register
Memory	$Imm$	$M[Imm]$	Absolute
Memory	$(E_x)$	$M[R[E_x]]$	Indirect
Memory	$Imm(E_b)$	$M[Imm + R[E_b]]$	Base + displacement
Memory	$(E_b, E_i)$	$M[R[E_b] + R[E_i]]$	Indexed
Memory	$Imm(E_b, E_i)$	$M[Imm + R[E_b] + R[E_i]]$	Indexed
Memory	$(, E_i, s)$	$M[R[E_i] \cdot s]$	Scaled indexed
Memory	$Imm(, E_i, s)$	$M[Imm + R[E_i] \cdot s]$	Scaled indexed
Memory	$(E_b, E_i, s)$	$M[R[E_b] + R[E_i] \cdot s]$	Scaled indexed
Memory	$Imm(E_b, E_i, s)$	$M[Imm + R[E_b] + R[E_i] \cdot s]$	Scaled indexed

Figure 3.3 Operand forms. Operands can denote immediate (constant) values, register values, or values from memory. The scaling factor  $s$  must be either 1, 2, 4, or 8.

(Source: CSAPP Chapter 3)



# Impact of ISA on system program: Multitasking (1/5)

## ■ Time sharing system

- ✓ Tasks run interchangeable
- ✓ Need to remember where to start → Context
  - Context: registers, address space, opened files, IPCs, ...
- ✓ Context switch
  - When: timeout(time quantum expired), sleep, blocking I/O, ...
  - How
    - Context save: CPU registers → task structure (memory)
    - Context restore: task structure (memory) → CPU registers

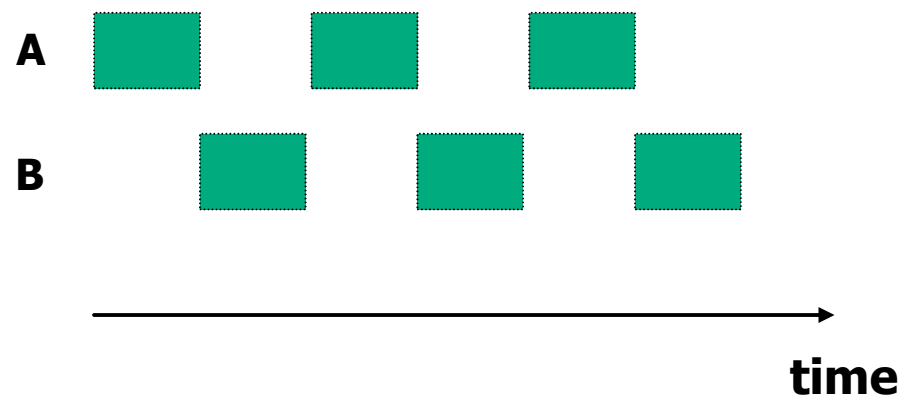
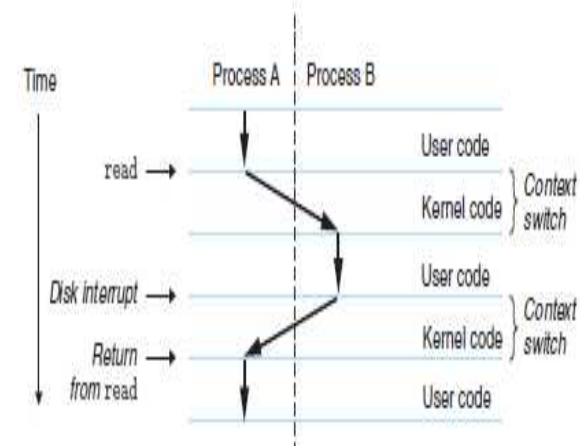
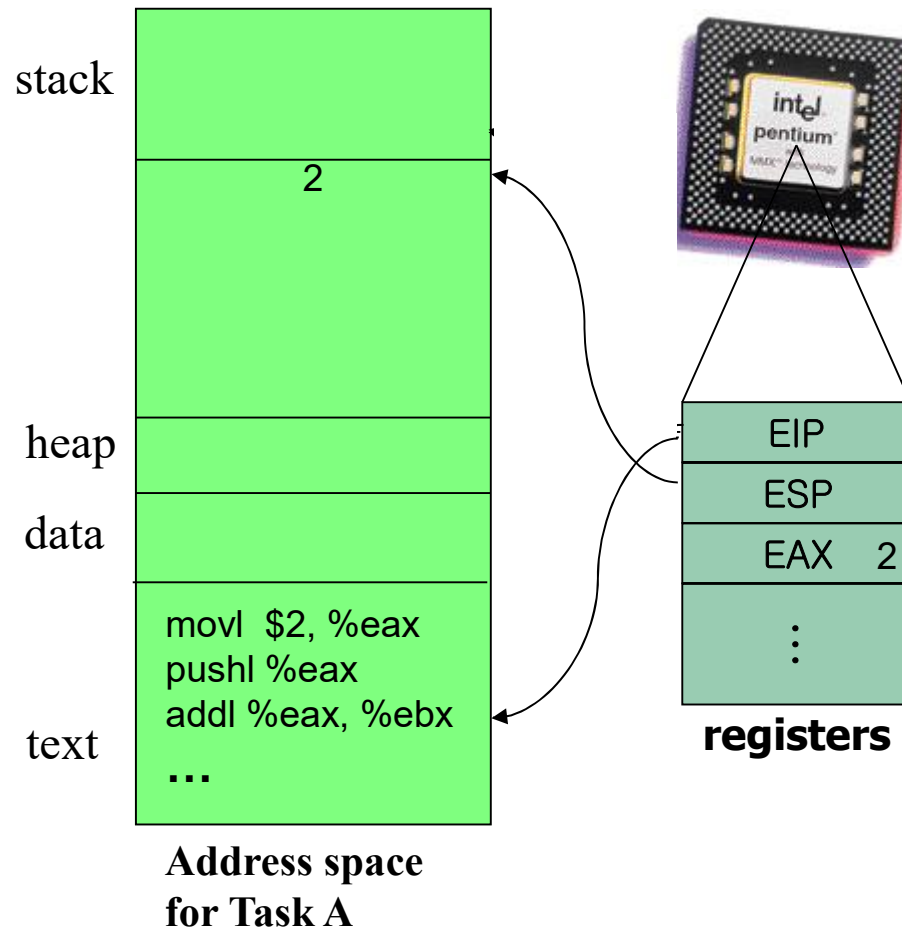


Figure 8.14  
Anatomy of a process  
context switch.



# Impact of ISA on system program: Multitasking (2/5)

## ■ Virtual CPU: running A

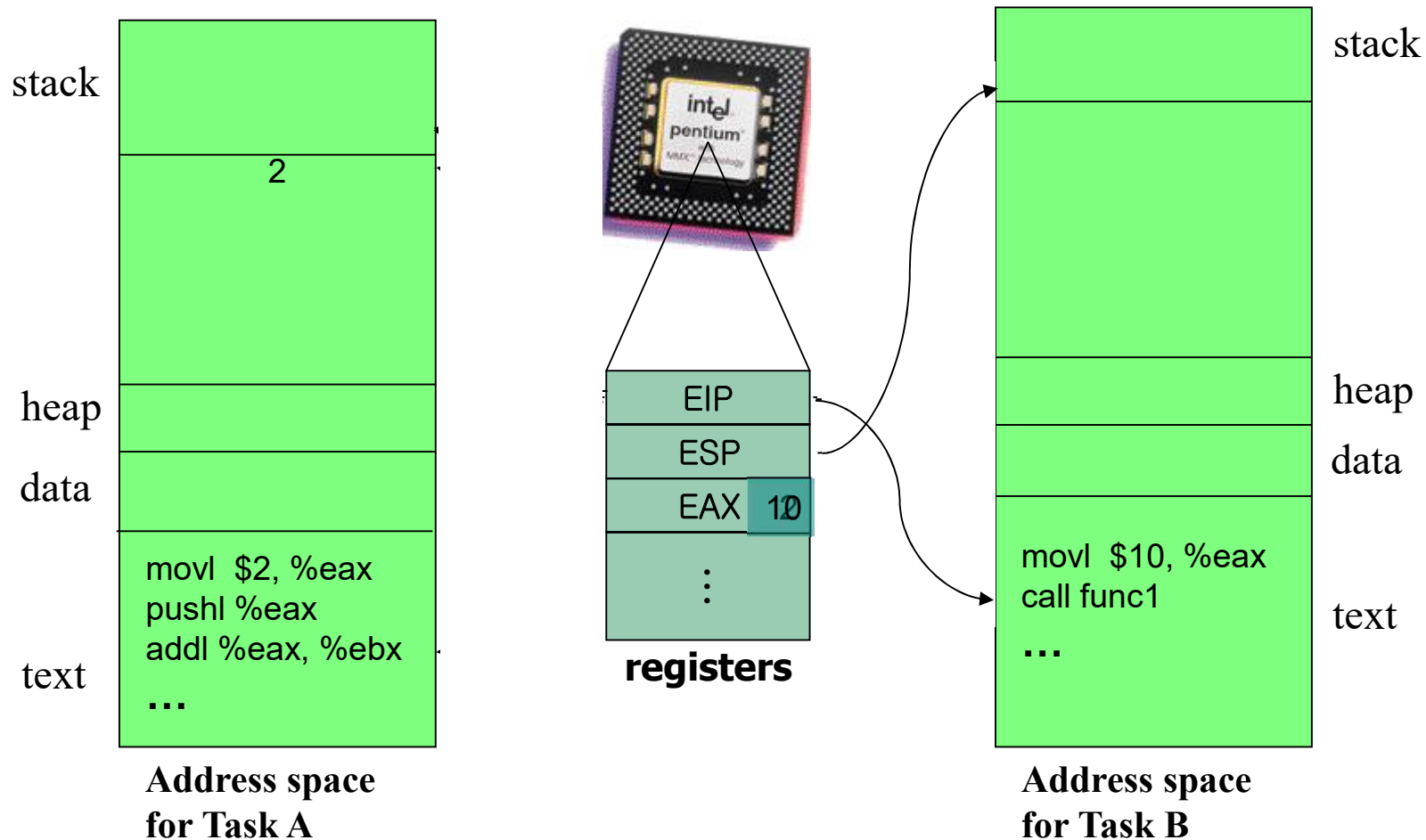


☞ **Time quantum is expired, system program (scheduler) selects a Task B to run next.**



# Impact of ISA on system program: Multitasking (3/5)

## ■ Virtual CPU: switch to B



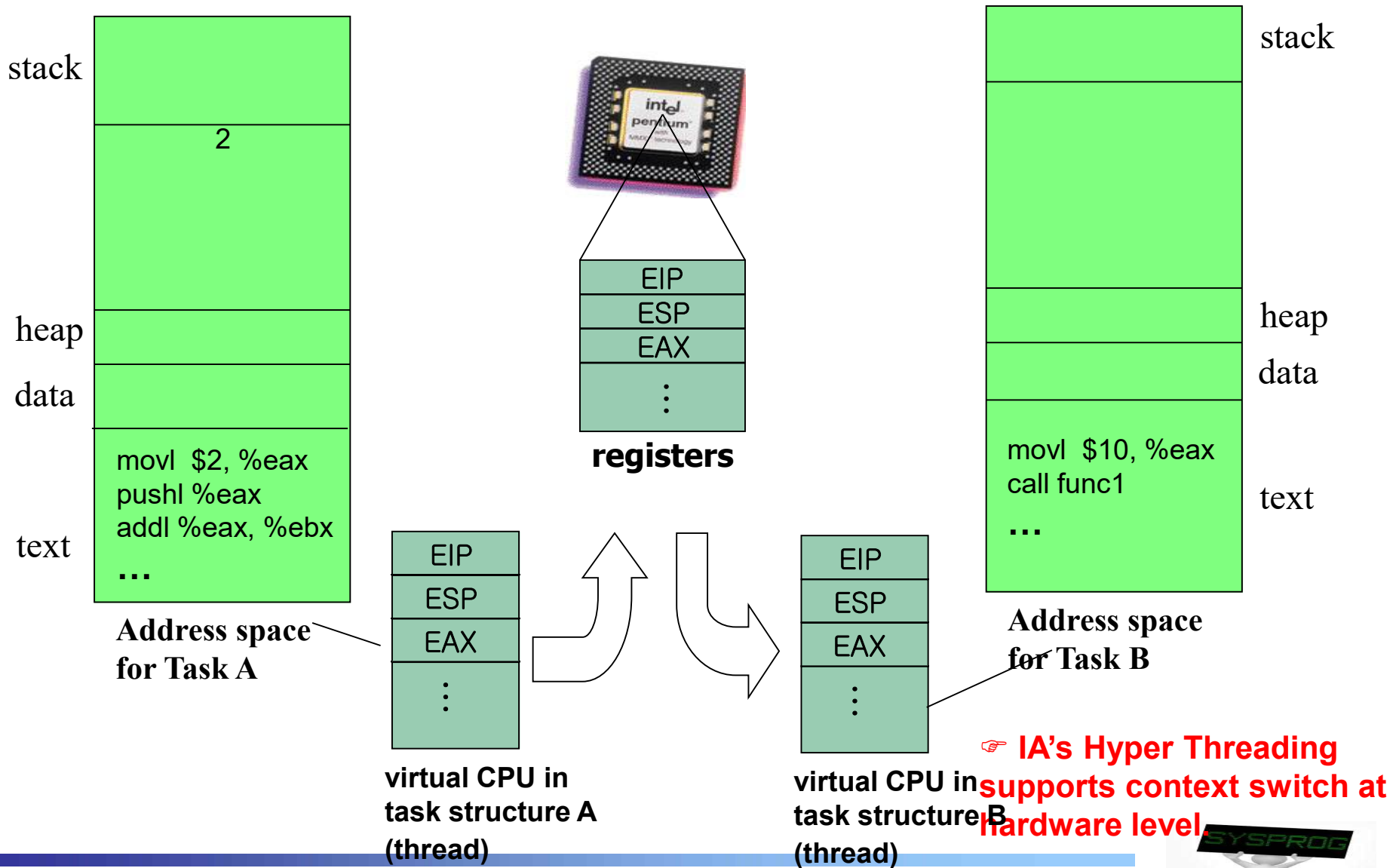
- ☞ Time quantum is expired, system program (scheduler) selects a Task B to run next.
- ☞ Time quantum is expired, again. Task A is scheduled. Then where to start?
- ☞ Context Switch → save/restore context (architectural state or thread)





# Impact of ISA on system program: Multitasking (4/5)

## Virtual CPU: how to switch back to A



IA's Hyper Threading supports context switch at hardware level



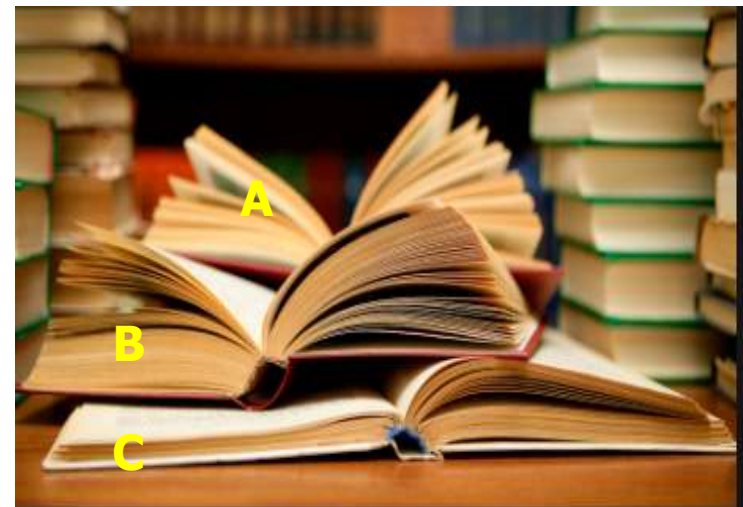


# Quiz for 11<sup>th</sup>-Week 1<sup>st</sup>-Lesson

## ■ Quiz

- ✓ 1. Explain the differences between “movl \$array, %ebx” and “movl array, %ebx” in operand addressing modes.
- ✓ 2. Assume that a student reads three books (called A, B, C) in a library. Also assume that he/she reads a book for 10 minutes and turns to a next book. Explain the **context save** and **context restore** in this scenario. What are the CPU registers and task structure in this scenario?
- ✓ Due: until 6 PM Friday of this week (19<sup>th</sup>, November)

```
choijm@sungmin-Samsung-DeskTop-System: ~/syspro/chap7
1 /* Based-index addressing example by choijm, Nov. 5th */
2 .data
3     .size    array, 40
4 array:
5     .long   2
6     .long   3
7     .long   4
8     .long   7
9     .long   6
10    .long   9
11    .long   2
12    .long   8
13    .long   9
14
15 P_arg:
16     .section ".Sum of array = %d\n"
17     .text
18     .globl main
19     .type   main, @function
20 main:
21     pushl  %ebp
22     movl   %esp, %ebp
23     subl   $8, %esp
24
25     movl   $0, %ecx
26     movl   $0, %eax
27     movl   $array, %ebx
28 LOOP:
29     cmpl   %9, %ecx
30     jg    LOOP_OUT
31     addl   0(%ebx, %ecx, 4), %eax
32     addl   $1, %ecx
33     jmp   LOOP
34 LOOP_OUT:
35     pushl  %eax
36     pushl  %P_arg
37     call   printf
38     addl   $8, %esp
39     leave
40     ret
"addressing.s" 40L, 527C
```



(Source: [www.analyticsvidhya.com/blog/2019/01/27-amazing-data-science-books-every-data-scientist-should-read/](http://www.analyticsvidhya.com/blog/2019/01/27-amazing-data-science-books-every-data-scientist-should-read/)).



# Impact of ISA on system program: Memory Usage (1/5)

- Little Endian vs. Big Endian

```
choijm's X desktop (embedded.wowdns.com:2)
choijm@embedded:~/public_html/sys_ro/exam/byteorder
#include <stdio.h>

int main(void)
{
    int a = 0x12345678;
    unsigned char *p_a;

    p_a = (unsigned char *)&a;
    printf("p_a[0] = %x\n", p_a[0]);
    printf("p_a[3] = %x\n", p_a[3]);
}

choijm@localhost:~
[choijm@localhost choijm]$
[choijm@localhost choijm]$
[choijm@localhost choijm]$
[choijm@localhost choijm]$ uname -a
Linux localhost.localdomain 2.4.20-8 #1 Thu Mar 13 17:54:28 EST 2003 i686 i686 i
386 GNU/Linux
[choijm@localhost choijm]$
[choijm@localhost choijm]$ ls -l byte_order.c
-rw-rw-r-- 1 choijm choijm 175 11월 19 20:18 byte_order.c
[choijm@localhost choijm]$
[choijm@localhost choijm]$ gcc byte_order.c
[choijm@localhost choijm]$
[choijm@localhost choijm]$ ./a.out
p_a[0] = 78
p_a[3] = 12
[choijm@localhost choijm]$
[choijm@localhost choijm]$
[choijm@localhost choijm]$
[choijm@localhost choijm]$
[choijm@localhost choijm]$
[choijm@localhost choijm]$
[choijm@localhost choijm]$

choijm@embedded:~
choijm@embedded ~ $ more byte_order.c
#include <stdio.h>

int main()
{
    int a = 0x12345678;
    unsigned char *p_a;

    p_a = (unsigned char *)&a;

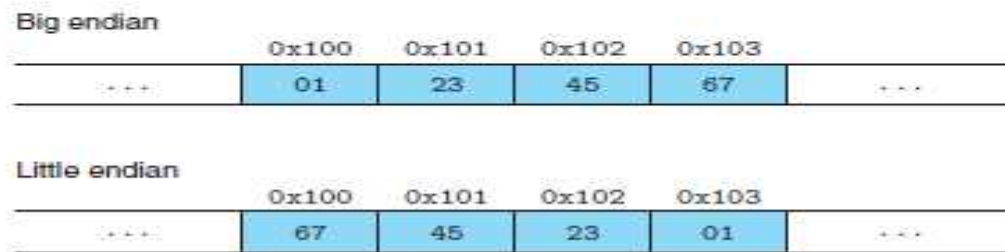
    printf("p_a[0] = %x\n", p_a[0]);
    printf("p_a[3] = %x\n", p_a[3]);
}
choijm@embedded ~ $
choijm@embedded ~ $ uname -a
SunOS embedded 5.10 Generic_127127-11 sun4u sparc SUNW,Sun-Fire-880 Solaris
choijm@embedded ~ $
choijm@embedded ~ $ gcc byte_order.c
choijm@embedded ~ $
choijm@embedded ~ $ ./a.out
p_a[0] = 12
p_a[3] = 78
choijm@embedded ~ $
choijm@embedded ~ $
```



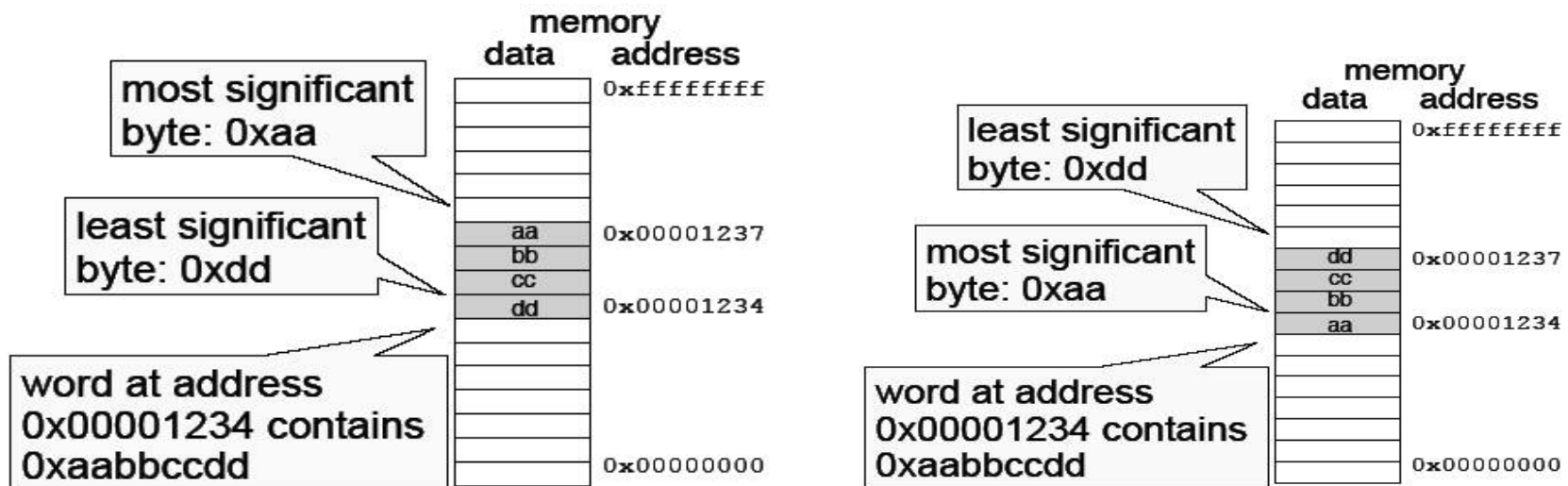
# Impact of ISA on system program: Memory Usage (2/5)

## ■ Little Endian vs. Big Endian

Continuing our earlier example, suppose the variable `x` of type `int` and at address `0x100` has a hexadecimal value of `0x01234567`. The ordering of the bytes within the address range `0x100` through `0x103` depends on the type of machine:



(Source: CSAPP)





# Impact of ISA on system program: Memory Usage (3/5)

- Where can we see the little endian?
  - ✓ readelf command

```
choijm@LAPTOP-LR5HOQBH: ~/Syspro/LN4
choijm@LAPTOP-LR5HOQBH:~/Syspro/LN4$
choijm@LAPTOP-LR5HOQBH:~/Syspro/LN4$ more test.c
#include <stdio.h>

int a = 10;
int b = 20;
int c;

int main()
{
    c = a + b;
    printf("C = %d\n", c);
}
choijm@LAPTOP-LR5HOQBH:~/Syspro/LN4$
choijm@LAPTOP-LR5HOQBH:~/Syspro/LN4$ gcc -c test.c
choijm@LAPTOP-LR5HOQBH:~/Syspro/LN4$
choijm@LAPTOP-LR5HOQBH:~/Syspro/LN4$ size test.o
text  data  bss  dec  hex filename
156   8    0   164  a4 test.o
choijm@LAPTOP-LR5HOQBH:~/Syspro/LN4$
choijm@LAPTOP-LR5HOQBH:~/Syspro/LN4$ gcc test.c
choijm@LAPTOP-LR5HOQBH:~/Syspro/LN4$
choijm@LAPTOP-LR5HOQBH:~/Syspro/LN4$ size a.out
text  data  bss  dec  hex filename
1595  608   8  2211  8a3 a.out
choijm@LAPTOP-LR5HOQBH:~/Syspro/LN4$
choijm@LAPTOP-LR5HOQBH:~/Syspro/LN4$ objdump -h a.out

a.out:  file format elf64-x86-64

Sections:
Idx Name          Size      VMA           LMA             File off  Algn
0 .interp          0000001c  0000000000000318 0000000000000318 00000318 2**0
CONTENTS, ALLOC, LOAD, READONLY, DATA
1 .note.gnu.property 00000020 0000000000000338 0000000000000338 00000338 2**3
CONTENTS, ALLOC, LOAD, READONLY, DATA
2 .note.gnu.build-id 00000024 0000000000000358 0000000000000358 00000358 2**2
CONTENTS, ALLOC, LOAD, READONLY, DATA
3 .note.ABI-tag    00000020 000000000000037c 000000000000037c 0000037c 2**2
CONTENTS, ALLOC, LOAD, READONLY, DATA
4 .gnu.hash        00000024 00000000000003a0 00000000000003a0 000003a0 2**3
```

```
choijm@LAPTOP-LR5HOQBH:~/Syspro/LN4
choijm@LAPTOP-LR5HOQBH:~/Syspro/LN4$ readelf -a a.out
ELF Header:
  Magic:   7f 45 4c 46 02 01 01 00 00 00 00 00 00 00 00 00
  Class:           ELF64
  Data:            2's complement, little endian
  Version:         1 (current)
  OS/ABI:          UNIX - System V
  ABI Version:     0
  Type:            DYN (Shared object file)
  Machine:         Advanced Micro Devices X86-64
  Version:         0x1
  Entry point address: 0x1060
  Start of program headers: 64 (bytes into file)
  Start of section headers: 14784 (bytes into file)
  Flags:           0x0
  Size of this header:   64 (bytes)
  Size of program headers: 56 (bytes)
  Number of program headers: 13
  Size of section headers: 64 (bytes)
  Number of section headers: 31
  Section header string table index: 30

Section Headers:
 [Nr] Name           Type           Address             Offset
      Size          EntSize          Flags  Link  Info  Align
 [ 0]                 NULL           0000000000000000    00000000
 [ 1] .interp          PROGBITS       0000000000000318    00000318
      00000000000001c 0000000000000000    A      0      0      1
 [ 2] .note.gnu.property NOTE           0000000000000338    00000338
      000000000000020 0000000000000000    A      0      0      8
 [ 3] .note.gnu.build-id NOTE           0000000000000358    00000358
      000000000000024 0000000000000000    A      0      0      4
 [ 4] .note.ABI-tag    NOTE           000000000000037c    0000037c
      000000000000020 0000000000000000    A      0      0      4
 [ 5] .gnu.hash        GNU_HASH       00000000000003a0    000003a0
      000000000000024 0000000000000000    A      6      0      8
 [ 6] .dynsym          DYNSYM        00000000000003c8    000003c8
      0000000000000a8 0000000000000018    A      7      1      8
 [ 7] .dynstr          STRTAB        0000000000000470    00000470
```



# Impact of ISA on system program: Memory Usage (4/5)

- Memory Alignment in data structure
  - ✓ To reduce memory fetch numbers (and atomicity)
  - ✓ To consider cache line boundary (and false sharing)

```
choijm@sungmin-Samsung-DeskTop-System: ~/syspro/chap7
1 /* Byte alignment test bu choijm */
2 #include <stdio.h>
3
4 // #define TEST_PACKED
5
6 #ifdef TEST_PACKED
7 typedef struct {
8     int a;
9     double d1;
10    char ch;
11    double d2;
12 } __attribute__((packed)) Test;
13 #else
14 typedef struct {
15     int a;
16     double d1;
17     char ch;
18     double d2;
19 } Test;
20 #endif
21
22 int main()
23 {
24     Test test;
25
26     printf("Size of Test is %d\n", sizeof(test));
27 }
```

byte\_alignment.c 27,1 모뉴  
"byte\_alignment.c" 27L, 377C

☞ Depend on compiler and CPU

☞ “\_\_attribute\_\_((packed))”

# Impact of ISA on system program: Memory Usage (5/5)

- Memory Alignment in stack
  - Need 16 bytes (8 for local variables and 8 for arguments) → But allocate 24 bytes for 16 bytes alignment in a frame (recommended by IA)

```

1 int swap_add(int *xp, int *yp)
2 {
3     int x = *xp;
4     int y = *yp;
5
6     *xp = y;
7     *yp = x;
8     return x + y;
9 }
10
11 int caller()
12 {
13     int arg1 = 534;
14     int arg2 = 1057;
15     int sum = swap_add(&arg1, &arg2);
16     int diff = arg1 - arg2;
17
18     return sum * diff;
19 }
    
```

Figure 3.23 Example of procedure definition and call.  
(Source: CSAPP)

```

1 caller:
2 pushl %ebp           Save old %ebp
3 movl  %esp, %ebp    Set %ebp as frame pointer
4 subl  $24, %esp     Allocate 24 bytes on stack
5 movl  $534, -4(%ebp) Set arg1 to 534
6 movl  $1057, -8(%ebp) Set arg2 to 1057
7 leal  -8(%ebp), %eax Compute &arg2
8 movl  %eax, 4(%esp)  Store on stack
9 leal  -4(%ebp), %eax Compute &arg1
10 movl  %eax, (%esp)  Store on stack
11 call  swap_add     Call the swap_add function
    
```

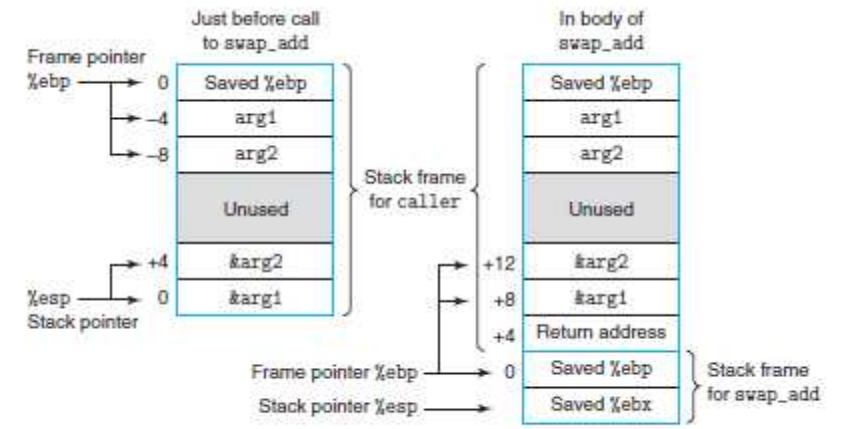


Figure 3.24 Stack frames for caller and swap\_add. Procedure swap\_add retrieves 2 arguments from the stack frame for caller.

# Revisit the stack in LN 6

- Another way for 16 bytes alignment in gcc

```
choijm@localhost:~/syspro_examples/chap6
#include <stdio.h>

int g, h;

int func1(int x, int y)
{
    int a, b;

    a = 777;
    b = x + y;

    return b;
}

main()
{
    h = 888;
    g = func1(111, 222);
    h = 999;

    printf("g = %d\n", g);
}
~
~
~
"func_exam.c" 24 줄 --79%--

choijm@localhost:~/syspro_examples/chap6
.text
.globl func1
.type func1, @function
func1:
    pushl   %ebp
    movl   %esp, %ebp
    subl   $8, %esp
    movl   $777, -4(%ebp)
    movl   12(%ebp), %eax
    addl   8(%ebp), %eax
    movl   %eax, -8(%ebp)
    movl   -8(%ebp), %eax
    leave
    ret
.size   func1, .-func1
.section .rodata
.LC0:
.string "g = %d\n"
.text
.globl main
.type main, @function
main:
    pushl   %ebp
    movl   %esp, %ebp
    subl   $8, %esp
    andl   $-16, %esp
    #...
    subl   %eax, %esp

    movl   $888, h
    pushl   $222
    pushl   $111
    call   func1
    addl   $8, %esp
    movl   %eax, g

    movl   $999, h
    subl   $8, %esp
"func_exam.s" [바뀐] 50 줄 974%--
```

**2's complement**



# Impact of ISA on system program: Buffer Overflow (1/3)

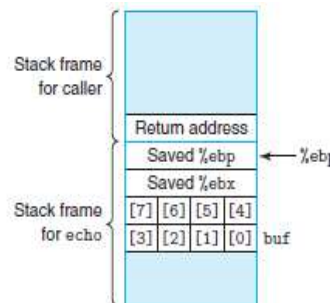
## ■ Buffer overflow

- ✓ Due to the no boundary check
- ✓ How to thwart buffer overflow
  - Stack randomization
    - One step further: ASLR (Address Space Layout Randomization)  
→ even code, data and heap
  - Stack guard (e.g. Canary)

```
1 /* Sample implementation of library function gets() */
2 char *gets(char *s)
3 {
4     int c;
5     char *dest = s;
6     int gotchar = 0; /* Has at least one character been read? */
7     while ((c = getchar()) != '\n' && c != EOF) {
8         *dest++ = c; /* No bounds checking! */
9         gotchar = 1;
10    }
11    *dest++ = '\0'; /* Terminate string */
12    if (c == EOF && !gotchar)
13        return NULL; /* End of file or error */
14    return s;
15 }
..
```

```
17 /* Read input line and write it back */
18 void echo()
19 {
20     char buf[8]; /* Way too small! */
21     gets(buf);
22     puts(buf);
23 }
```

Figure 3.31  
Stack organization for echo function. Character array buf is just below part of the saved state. An out-of-bounds write to buf can corrupt the program state.



```
1 echo:
2     pushl %ebp           Save %ebp on stack
3     movl %esp, %ebp
4     pushl %ebx           Save %ebx
5     subl $20, %esp      Allocate 20 bytes on stack
6     leal -12(%ebp), %ebx Compute buf as %ebp-12
7     movl %ebx, (%esp)   Store buf at top of stack
8     call gets           Call gets
9     movl %ebx, (%esp)   Store buf at top of stack
10    call puts           Call puts
11    addl $20, %esp       Deallocate stack space
12    popl %ebx           Restore %ebx
13    popl %ebp           Restore %ebp
14    ret                 Return
```





# Impact of ISA on system program: Buffer Overflow (2/3)

## Stack randomization

```
choijm@LAPTOP-LR5HQQBH: ~/Syspro/LN4
choijm@LAPTOP-LR5HQQBH:~/Syspro/LN4$ vi stack_struct.c
choijm@LAPTOP-LR5HQQBH:~/Syspro/LN4$ cat stack_struct.c
/* stack_struct.c: stack structure analysis, by choijm. choijm@dku.edu */
#include <stdio.h>

int func2(int x, int y) {
    int f2_local1 = 21, f2_local2 = 22;
    int *pointer;

    printf("func2 local: %t%p, %t%p, %t%p\n", &f2_local1, &f2_local2, &pointer);
    pointer = &f2_local1;

    printf("%t%p %t%d\n", (pointer), *(pointer));
    printf("%t%p %t%d\n", (pointer-1), *(pointer-1));
    printf("%t%p %t%d\n", (pointer+3), *(pointer+3));
    printf("%t%p %t%d\n", (pointer+4), *(pointer+4)); // new
    printf("%t%p %t%d\n", (pointer+5), *(pointer+5)); // new
    printf("%t%p %t%d\n", (pointer+6), *(pointer+6)); // new

    *(pointer+4) = 333;
    printf("%ty = %d\n", y);
    return 222;
}

void func1() {
    int ret_val, f1_local1 = 11, f1_local2 = 12;

    ret_val = func2(111, 112);
}

int main() {
    func1();
}
choijm@LAPTOP-LR5HQQBH:~/Syspro/LN4$ gcc stack_struct.c -m32
choijm@LAPTOP-LR5HQQBH:~/Syspro/LN4$ ./a.out
func2 local: 0xff9df5f0, 0xff9df5f4, 0xff9df5f8
0xff9df5f0 21
0xff9df5ec -6425096
0xff9df5fc 1935993600
0xff9df600 -135065600
0xff9df604 0
0xff9df608 -6425032
y = 112
choijm@LAPTOP-LR5HQQBH:~/Syspro/LN4$ ./a.out
func2 local: 0xff932970, 0xff932974, 0xff932978
0xff932970 21
0xff93296c -7132808
0xff93297c -1763943680
0xff932980 -135043216
0xff932984 0
0xff932988 -7132744
y = 112
choijm@LAPTOP-LR5HQQBH:~/Syspro/LN4$

choijm@LAPTOP-LR5HQQBH:~/Syspro/LN4$ cat /proc/sys/kernel/randomize_va_space
2
choijm@LAPTOP-LR5HQQBH:~/Syspro/LN4$ echo 0 | sudo tee /proc/sys/kernel/randomize_va_space
0
choijm@LAPTOP-LR5HQQBH:~/Syspro/LN4$
choijm@LAPTOP-LR5HQQBH:~/Syspro/LN4$ ./a.out
func2 local: 0xffffd3f0, 0xffffd3f4, 0xffffd3f8
0xffffd3f0 21
0xffffd3ec -11272
0xffffd3fc 472302336
0xffffd400 -134520832
0xffffd404 0
0xffffd408 -11208
y = 112
choijm@LAPTOP-LR5HQQBH:~/Syspro/LN4$
choijm@LAPTOP-LR5HQQBH:~/Syspro/LN4$ ./a.out
func2 local: 0xffffd3f0, 0xffffd3f4, 0xffffd3f8
0xffffd3f0 21
0xffffd3ec -11272
0xffffd3fc 967315200
0xffffd400 -134520832
0xffffd404 0
0xffffd408 -11208
y = 112
choijm@LAPTOP-LR5HQQBH:~/Syspro/LN4$ gcc -fno-stack-protector stack_struct.c -m32
choijm@LAPTOP-LR5HQQBH:~/Syspro/LN4$
choijm@LAPTOP-LR5HQQBH:~/Syspro/LN4$ ./a.out
func2 local: 0xffffd3fc, 0xffffd3f8, 0xffffd3f4
0xffffd3fc 21
0xffffd3f8 22
0xffffd408 -11208
0xffffd40c 1448436529
0xffffd410 111
0xffffd414 112
y = 112
Segmentation fault
choijm@LAPTOP-LR5HQQBH:~/Syspro/LN4$
choijm@LAPTOP-LR5HQQBH:~/Syspro/LN4$ gcc --version
gcc (Ubuntu 9.3.0-10ubuntu2) 9.3.0
Copyright (C) 2019 Free Software Foundation, Inc.
This is free software; see the source for copying conditions. There is NO
warranty; not even for MERCHANTABILITY or FITNESS FOR A PARTICULAR PURPOSE.
choijm@LAPTOP-LR5HQQBH:~/Syspro/LN4$
```

# Impact of ISA on system program: Buffer Overflow (3/3)

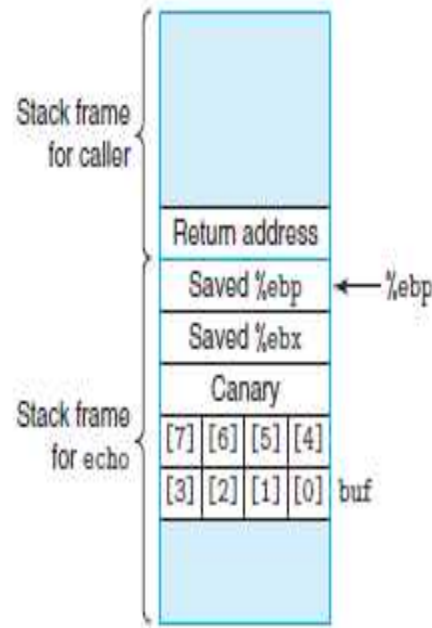
## ■ Stack protector

- ✓ Typical example: canary
- ✓ Included as default in modern gcc



Figure 3.33

Stack organization for echo function with stack protector enabled. A special "canary" value is positioned between array buf and the saved state. The code checks the canary value to determine whether or not the stack state has been corrupted.



```
1  echo:
2  pushl %ebp
3  movl  %esp, %ebp
4  pushl %ebx
5  subl  $20, %esp
6  movl  %gs:20, %eax    Retrieve canary
7  movl  %eax, -8(%ebp)  Store on stack
8  xorl  %eax, %eax     Zero out register
9  leal  -16(%ebp), %ebx Compute buf as %ebp-16
10 movl  %ebx, (%esp)   Store buf at top of stack
11 call  gets           Call gets
12 movl  %ebx, (%esp)   Store buf at top of stack
13 call  puts           Call puts
14 movl  -8(%ebp), %eax Retrieve canary
15 xorl  %gs:20, %eax   Compare to stored value
16 je    .L19           If =, goto ok
17 call  __stack_chk_fail Stack corrupted!
18 .L19:                ok:
19 addl  $20, %esp     Normal return ...
20 popl  %ebx
21 popl  %ebp
22 ret
```



# Intel CPU History (1/9)

---

- 8080 (1974)
  - ✓ 8bit register, 8bit bus, 64KB memory support
- 8086 (1978)
  - ✓ **16bit register**, 16bit data bus, 20bit address bus (8088: 8bit data bus for backward compatibility, others are same as 8086), **1<sup>st</sup> generation of x86 ISA**
  - ✓ **Segmentation** (real addressing mode, 1MB memory support)
- 80286 (1982)
  - ✓ 16bit, 24bit address bus
  - ✓ **Segmentation** (use **segment descriptors**, 16MB memory support)
  - ✓ **4 privilege level**
- 80386 (1985)
  - ✓ 32bit register and bus (80386 SX: 16bit bus for backward compatibility)
  - ✓ First **32bit addressing** (4GB memory support)
  - ✓ **Paging** with a fixed 4-KBytes page size



# Intel CPU History (2/9)

---

- 80486 (1989)
  - ✓ **Pipelining** support (3 stages of execution, introduce u-op)
  - ✓ Use **L1** cache (keep recently used instruction, 8KB)
  - ✓ **An integrated x87 FPU** (no FPU in 486SX)
  - ✓ power saving support, system management mode for notebook (486SL)
- Pentium (1993, **5<sup>th</sup> generation**)
  - ✓ 5-stage pipeline, **Superscalar** support (**two pipelines** (u and v), which allows to execute at most two u-ops at a cycle in parallel)
  - ✓ L1 cache is divided into **D-Cache**, **I-Cache**, Use **L2** cache, write back protocol (MESI protocol)
  - ✓ Introduce **Branch Prediction**
  - ✓ APIC for multiple processor
  - ☞ **Why not the 80586?**
  - ✓ Pentium with **MMX Technology**
    - Equip Multimedia Accelerator.
    - **SIMD**(Single Instruction Multiple Data): High performance for Matrix processing (**one of the big changes in x86 ISA**, CISC flavor)



# Intel CPU History (3/9)

---

- P6 family (1995~1999, 6<sup>th</sup> generation )
  - ✓ **P6 Microarchitecture:** Dynamic execution
    - Out-of-order execution
    - Branch prediction
    - Speculative execution: decouple execution and commitment (retirement unit)
    - Data flow analysis: detect independent instructions on real time
    - Register renaming
  - ✓ Pentium Pro
    - Three instructions per clock cycle (**3-way superscalar**), 256KB L2 cache
    - Even though its name is similar to Pentium, **its internal is quite novel** (eg. employ diverse RICS features such as first out-of-order execution)
  - ✓ Pentium II
    - MMX enhancement, 16KB L1 cache, **1MB L2 cache**
    - Multiple low power state (Autohalt, Stop-grant, sleep, deep sleep)
    - Pentium II **Xeon**: Premium Pentium II (for server, large cache and scalability)
    - Pentium II **Cerelon**: For lower system cost (for cost-optimization, no L2 or small)
  - ✓ Pentium III
    - **SSE** (Streaming SIMD Extension): **128bit register(XMM)**, FPU support , Multimedia specialized instruction (around 70), Coopermine, Tualatin, ...
    - Pentium III **Xeon**: Premium Pentium III

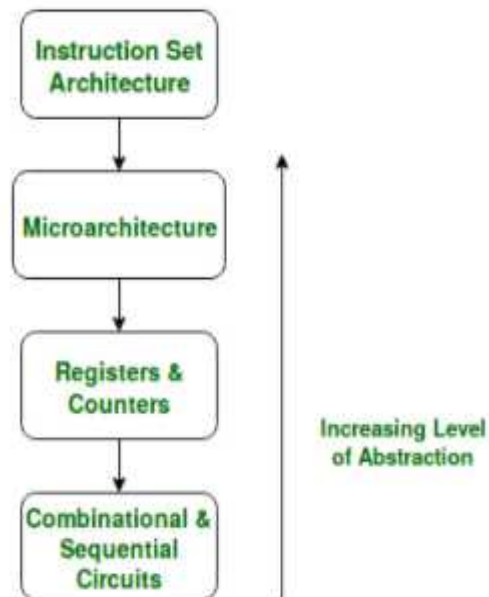




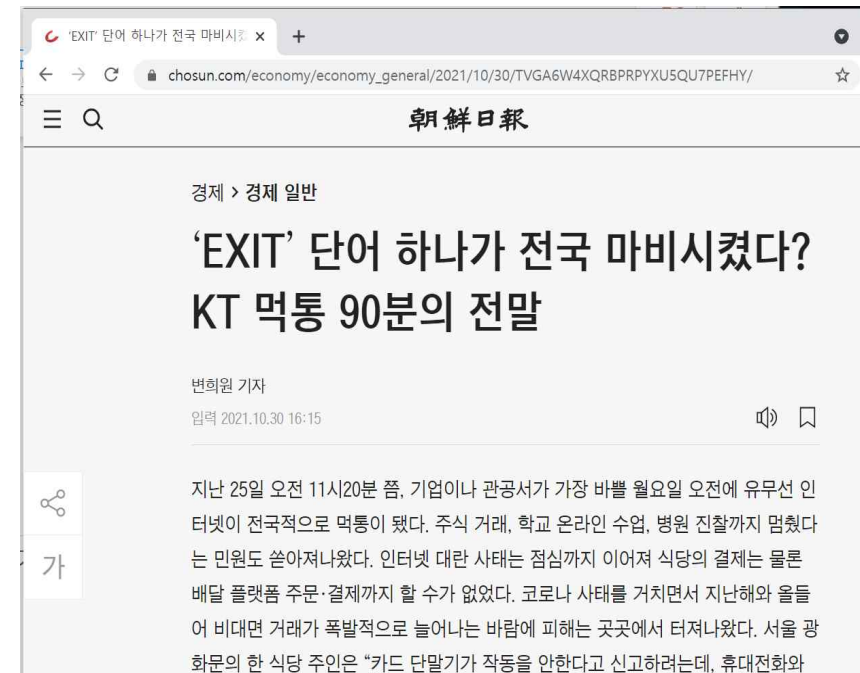
# Quiz for 11<sup>th</sup>-Week 2<sup>nd</sup>-Lesson

## ■ Quiz

- ✓ 1. Explain the key techniques of the dynamic execution in the Intel P6 **microarchitecture** (5 techniques)
- ✓ 2. What is the “exit” and how it can stop servers?
- ✓ Due: until 6 PM Friday of this week (19<sup>th</sup>, November)



(source: <https://www.geeksforgeeks.org/microarchitecture-and-instruction-set-architecture/>)

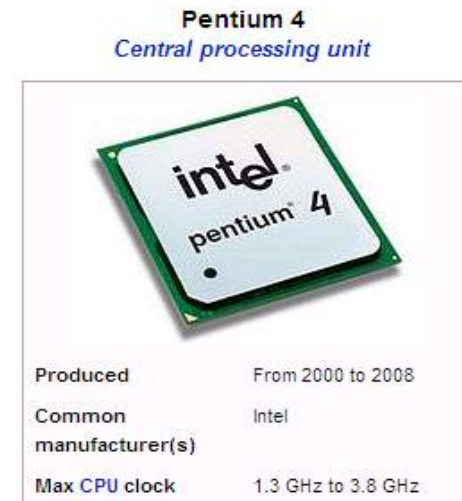


(source: <https://chosun.com/>)

# Intel CPU History (4/9)

## ■ Pentium 4 Processor Family (2000~2006, also release Itanium)

- ✓ **NetBurst** microarchitecture
  - **Deep pipelining** (Hyper Pipelining: 20~31 stages u-op, **expected up to 10GHz**)
  - Wider design: Rapid Execution (ALU 2X), System Bus (4X)
  - Advanced Dynamic Execution
    - Deep, out-of-order execution engine, Enhanced branch prediction
  - New cache system (Advanced Trace Cache for decoded instructions)
- ✓ **Hyper-Threading**: support Multithread at the CPU level (AS)
- ✓ Pentium 4 with SSE2, SSE3
- ✓ **Pentium D** (Smithfield, beginning of the dual core era)
- ✓ **Intel 64** (IA64, x86-64)
- ✓ **Virtualization technology**
  
- ✓ Market Name
  - Pentium 4
    - Northwood, **Prescott**, Cederhill, Smithfield, Willamette, ...
  - Pentium M: low power, high performance mobile CPU
  - Intel Xeon Processor: Premium Pentium 4
    - 64-bit Xeon MP: 3.3GHz, 16KB L1, 1MB L2, 8MB L3
  - Intel Pentium Processor Extreme Edition (Gallatin)
    - For High performance PC



# Intel CPU History (5/9)

- Intel Core Processor Family (2006 ~)
  - ✓ Intel Core microarchitecture
    - **NetBurst problem**: high power consumption, pipeline inefficiency
    - **Reengineering based on P6 Microarchitecture** (14 stage of pipeline)
    - Increased L2 cache (6MB), 4 way superscalar, combine u-ops
    - **Native Dualcore**: not just packaging two cores, but integrating as the design stage (eg. Advanced Smart Cache (L2 sharing), Enhanced prefetcher)
  - ✓ Marketing name: use Core, not Pentium
    - Core Solo/Duo (32 bit)
      - **Yonah** (laptop), actually based on P6 microarchitecture
    - Core 2 Solo/Duo/Quad (64 bit)
      - Merom, Penryn (laptop), **Conroe**, Kentsfield, Yorkfield (desktop), Woodcrest, Clovertown(Server)
      - Develop rapidly to multiple cores

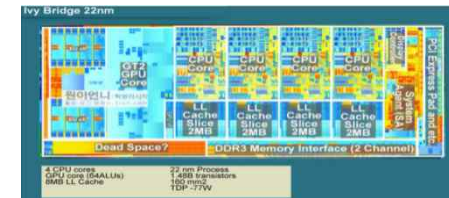
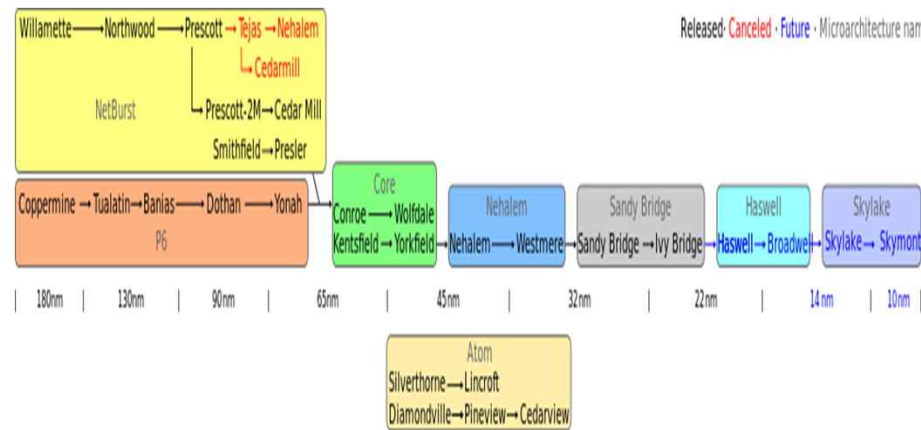
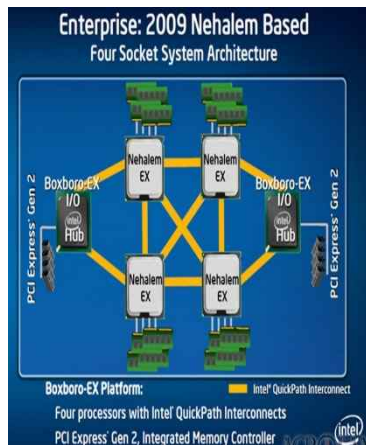


(source: <http://motoc.tistory.com/>)



# Intel CPU History (6/9)

- Intel Core i3/i5/i7 Family (2009 ~)
  - ✓ **Nehalem microarchitecture** (and its tick version **Westmere**)
    - **Quickpath** interconnect (for competing AMD's hyper-transport, supporting **NUMA**), **IMC** (Integrated Memory Controller), SMT, 45nm
    - Turbo mode, 256KB L2 cache/core, 12MB L3 cache, **Intel Core 1<sup>st</sup> generation**
  - ✓ **Sandy Bridge, Haswell, Sky lake, Sunny Cove** microarchitecture
    - Successor of Nehalem, <= 32 nm, **Integrated GPU**, AVX (Advanced Vector extensions, 256 bit SSE), HW-supported video transcoding/encryption,
    - **Tick-Tock strategy**
  - ✓ **Marketing name: Core i3, i5, i7** (From mid-range (i3) to high-end (i7))
    - Lynnfield, Sandy bridge(Laptop), Gulftown, Sandy bridge-E(P) (Server), Arrandale, Sandy bridge-M (Mobile)

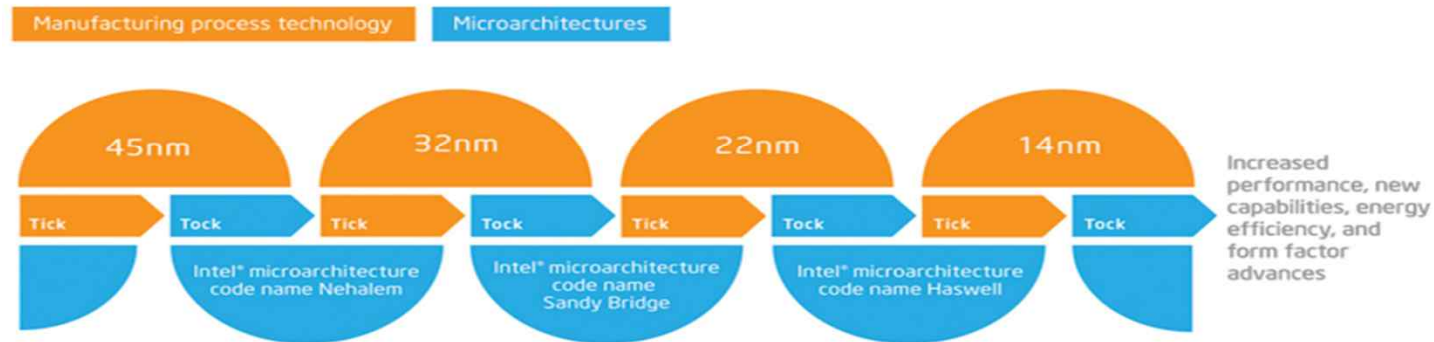


# Intel CPU History (7/9)

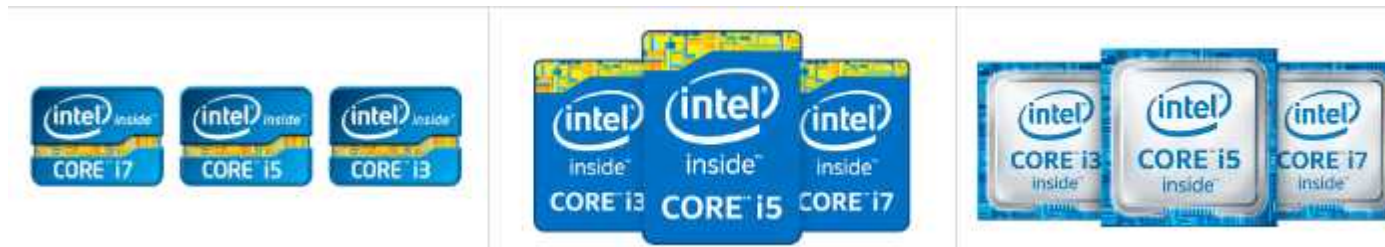
## ■ Intel tick-tock model

- ✓ Tick: innovations in manufacturing process technology
- ✓ Tock: innovations in processor microarchitecture

### The Tick-Tock model through the years



(Source: <http://www.intel.com/content/www/us/en/silicon-innovations/intel-tick-tock-model-general.html>)



(Intel Logo for Sandy Bridge, Haswell, and Skylake. Source: <http://namu.wiki>)





# Intel CPU History (8/9)

## ■ Intel CPU microarchitecture

- ✓ From [https://en.wikipedia.org/wiki/List\\_of\\_Intel\\_CPU\\_microarchitectures](https://en.wikipedia.org/wiki/List_of_Intel_CPU_microarchitectures)
- ✓ **Pre-P5**: 1) **8086**: first x86 processor, 2) **286**: protected mode, 3) **386**: 32-bit CPU, paging, 4) **486**: FPU, pipeline, L1 cache
- ✓ **P5**: Advanced pipeline, Superscalar, MMX
- ✓ **P6** (Pentium Pro, II, III): O3, SSE (Quite novel)
- ✓ **Netburst** (Pentium 4, Xeon): Deep pipeline
  
- ✓ **Core** (Core, Xeon): Mar. 2006, reengineered P6-based microarchitecture, 65nm, **Multicore**, (Tock → Penryn: 45nm)
- ✓ **Nehalem** (i3, i5, i7): 2008, 45nm, **Integrated Memory Controller**, QPI, (Tick → **Westmere**: 32nm)
- ✓ **Sandy Bridge**: 2011, 32nm, AVX, **HW-support for video encoding and decoding, Encryption instruction set.**(Tick → **Ivy Bridge**: 22nm)
- ✓ **Haswell**: 2013, 22nm, **Integrated GPU, advanced power-saving** (Tick → **Broadwell**: 14nm)
- ✓ **Skylake**: 2015, 14nm, **DDR4** (64GB), PCI-e 3.0 (20 lane) (Optimization → **kaby lake**, Tick → **Cannon lake**, 2018)
- ✓ **Sunny Cove (Ice lake)**: 2019, 10nm (Optimization → Willow Cove (Tiger Lake), HW-accelerator such as SHA hash, security and AI features)





# Intel CPU History (9/9)

## ■ Intel CPU microarchitecture: summary

Year ↕	Micro-architecture ↕	Pipeline stages ↕	Max Clock [MHz] ↕	Tech process [nm] ↕
1978	8086 (8086, 8088)	2	5	3000
1982	186 (80186, 80188)	2	25	3000
1982	286 (80286)	3	25	1500
1985	386 (80386)	3	33	1500
1989	486 (80486)	5	100	1000
1993	P5 (Pentium)	5	200	800, 600, 350
1995	P6 (Pentium Pro, Pentium II)	14 (17 with load & store/retire)	450	500, 350, 250
1997	P5 (Pentium MMX)	6	233	350
1999	P6 (Pentium III)	12 (15 with load & store/retire)	1400	250, 180, 130
2000	NetBurst (Pentium 4) (Willamette)	20 unified with branch prediction	2000	180
2002	NetBurst (Pentium 4) (Northwood, Gallatin)		3466	130
2003	Pentium M (Banias, Dothan) Enhanced Pentium M (Yonah)	10 (12 with fetch/retire)	2333	130, 90, 65
2004	NetBurst (Pentium 4) (Prescott)	31 unified with branch prediction	3800	90
2006	Intel Core	12 (14 with fetch/retire)	3000	65
2007	Penryn (die shrink)		3333	45
2008	Nehalem	20 unified (14 without miss prediction)	3600	
	Bonnell	16 (20 with prediction miss)	2100	
2010	Westmere (die shrink)	20 unified (14 without miss prediction)	3730	32
2011	Saltwell (die shrink)	16 (20 with prediction miss)	2130	
	Sandy Bridge	14 (16 with	4000	

(source: [en.wikipedia.org/wiki/List\\_of\\_Intel\\_CPU\\_microarchitectures](https://en.wikipedia.org/wiki/List_of_Intel_CPU_microarchitectures))

Year	Micro-architecture	Pipeline stages	Max Clock [MHz]	Tech process [nm]
2012	Ivy Bridge (die shrink)	fetch/retire	4100	22
2013	Silvermont	14–17 (16–19 with fetch/retire)	2670	
	Haswell	14 (16 with fetch/retire)	4400	
2014	Broadwell (die shrink)		3700	14
2015	Airmont (die shrink)	14–17 (16–19 with fetch/retire)	2640	
	Skylake	14 (16 with fetch/retire)	4200	
2016	Goldmont	20 unified with branch prediction	2600	
	Kaby Lake	14 (16 with fetch/retire)	4500	
2017	Coffee Lake		5000	
	Goldmont Plus	? 20 unified with branch prediction ?	2800	
2018	Cannon Lake (die shrink?)	14 (16 with fetch/retire)	3200	10
	Whiskey Lake		4800	14
	Amber Lake		4200	
2019	Cascade Lake		4400	14
	Comet Lake		5300	
	Sunny Cove (Ice Lake)	14–20	3900	10
2020	Tremont (Lakefield, Snow Ridge, Jacobsville, Elkhart Lake, Jasper Lake)			10
	Cooper Lake	14 (16 with fetch/retire)		14
	Willow Cove (Tiger Lake)			10
(2021)	Rocket Lake			14
(2021)	Golden Cove (Alder Lake)			10
(2021)	Gracemont			10
(2022)	Meteor Lake			7

# Technologies of Intel CPU (1/12)

---

## ■ What processor do?

<b>Instruction type</b>	<b>Dynamic usage</b>
Data movement	43%
Control flow	23%
Arithmetic operations	15%
Comparisons	13%
Logic operations	5%
Other	1%

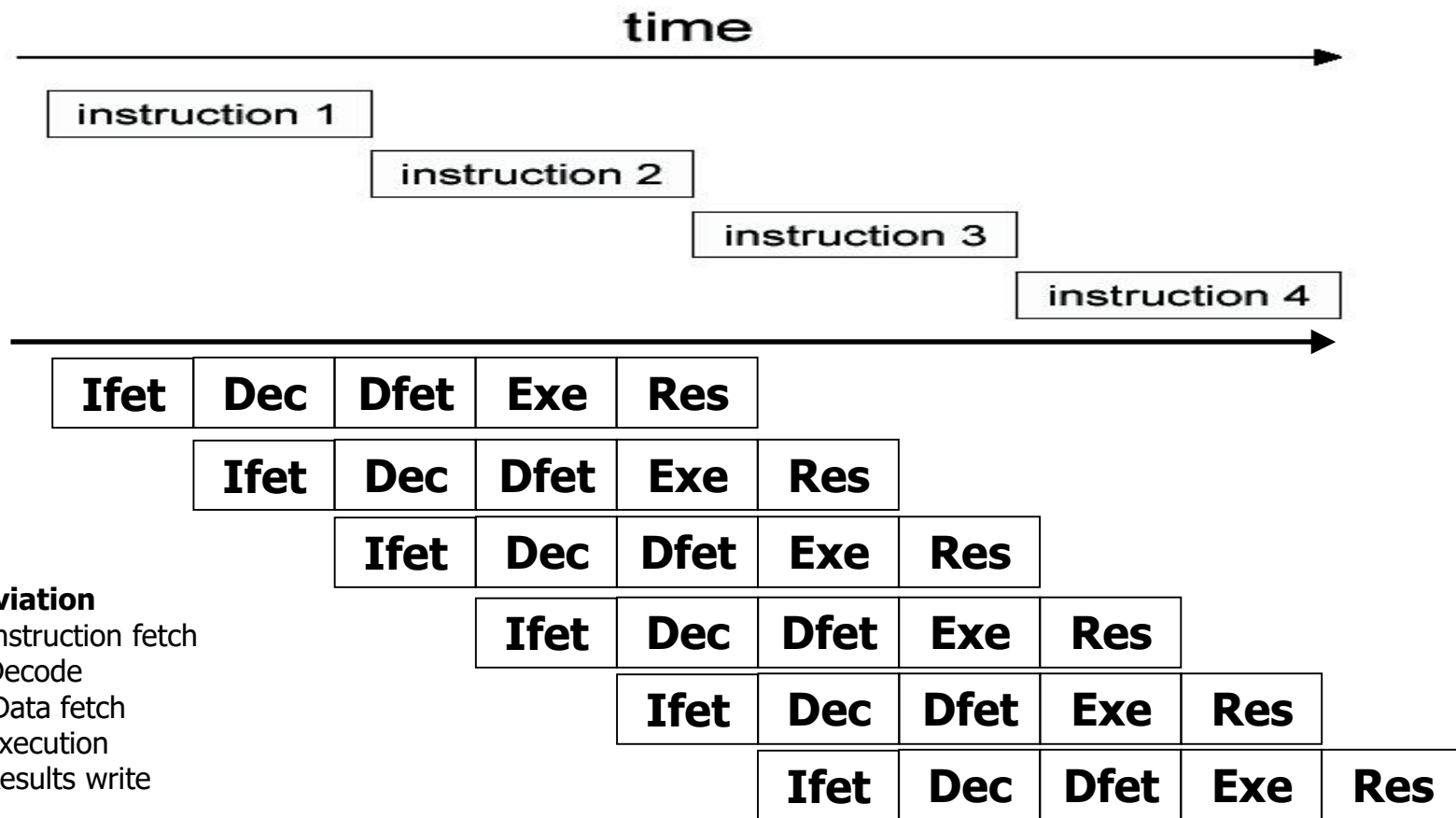
- ✓ Data movement needs to be optimized
  - ➔ CPU cache, write buffer
- ✓ Some components are idle while executing instruction
  - ➔ Pipelining
  - ➔ Superscalar



# Technologies of Intel CPU (2/12)

## ■ Pipeline

- ✓ Execution of an instruction is **divided** into multiple stages
- ✓ **Overlapping** execution of multiple instructions



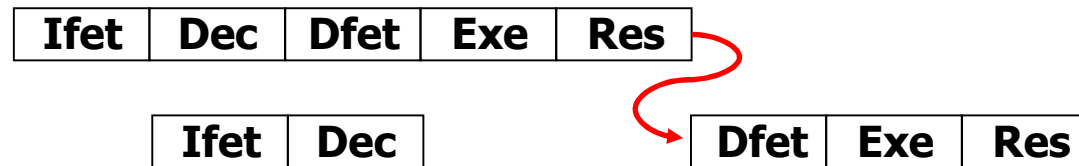
→ latency vs. throughput



# Technologies of Intel CPU (3/12)

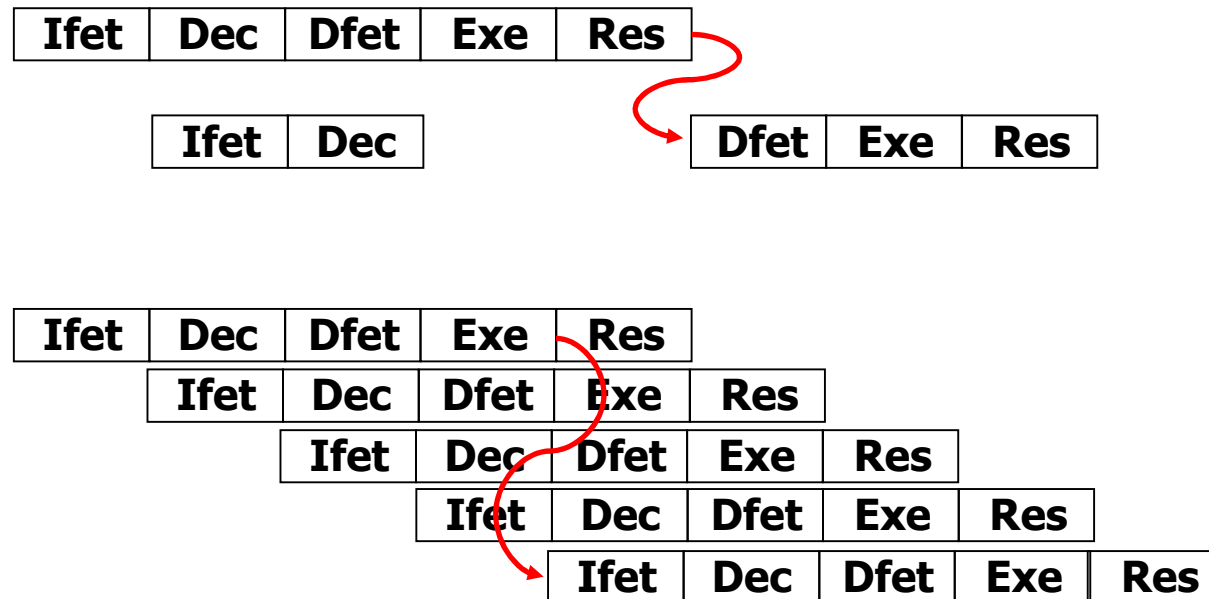
---

- For the efficiency of Pipelining (no free lunch)
  - ✓ All instructions should have similar execution time (simple format)
    - RISC (addl a, b vs. movl a, %eax; addl b, %eax; movl %eax, b)
  - ✓ CPU components are independent each other → I/D cache
  - ✓ No resource conflict (sharing at the same time) → dual component
  - ✓ Overcome pipeline **hazard** (data, control)



# Technologies of Intel CPU (3/12)

- For the efficiency of Pipelining (no free lunch)
  - ✓ All instructions should have similar execution time (simple format)
    - RISC (addl a, b vs. movl a, %eax; addl b, %eax; movl %eax, b)
  - ✓ CPU components are independent each other → I/D cache
  - ✓ No resource conflict (sharing at the same time) → dual component
  - ✓ Overcome pipeline **hazard** (data, control)





# Technologies of Intel CPU (4/12)

---

- Techniques for overcome pipeline hazard
  - ✓ Compiler optimization
    - Instruction reordering
    - Loop unrolling
  - ✓ Branch prediction
    - Static prediction
    - Dynamic prediction
  - ✓ Out of order execution
    - Dynamic reordering with data flow analysis
  - ✓ Speculative execution and retirement
  - ✓ Register renaming



# Technologies of Intel CPU (5/12)

- P6 microarchitecture revisit
  - ✓ Dynamic execution
    - Out-of-order execution
    - Branch prediction
    - Speculative execution: decouple execution and commitment (retirement unit)
    - Data flow analysis: detect independent instructions on real time
    - Register renaming
  - ✓ Pipelined (12 stage) architecture, 3-way superscalar
  - ✓ L1 cache and L2 cache

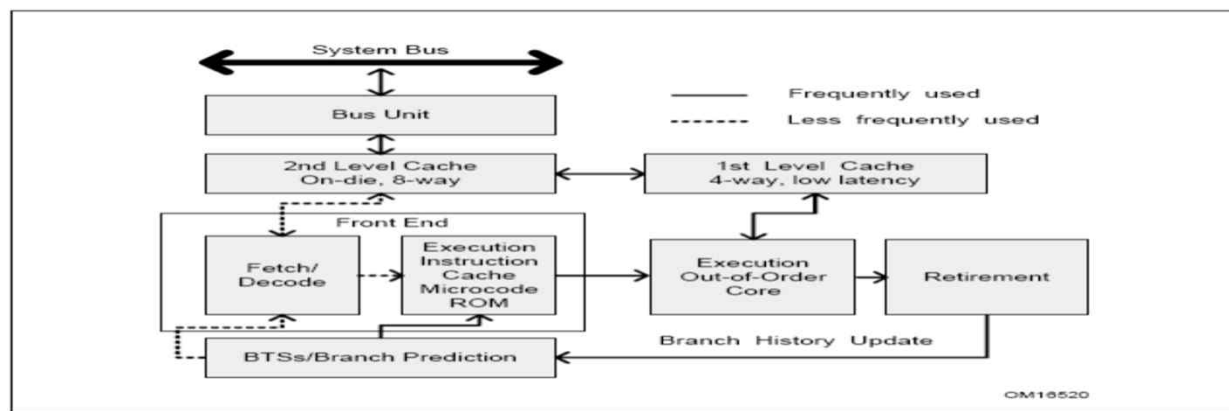


Figure 2-1. The P6 Processor Microarchitecture with Advanced Transfer Cache Enhancement



# Quiz for 12<sup>th</sup>-Week 1<sup>st</sup>-Lesson

## ■ Quiz

- ✓ 1. Discuss what pipeline hazard can be occurred in the left below figure (from LN6) and how to overcome that hazard.
- ✓ 2. What are the Spectre vulnerabilities (or Meltdown) ? Explain it using the Intel technologies learned in this LN.
- ✓ Due: until 6 PM Friday of this week (26<sup>th</sup>, November)

```
choijm@localhost:~/syspro_examples/chap6
#include <stdio.h>
int a = 2, b = 3;
int c, d, e;
main()
{
    c = a - b;
    d = b + 4;
    printf("c = %d, d = %d, e = %d\n", c, d, e);
}
*arith_exam.c* 12 줄 --91%--

choijm@localhost:~/syspro_examples/chap6
main
pushl   %ebp
movl   %esp, %ebp
subl   $8, %esp
andl   $-16, %esp
movl   $0, %eax
addl   $15, %eax
addl   $15, %eax
shrl   $4, %eax
sall   $4, %eax
subl   %eax, %esp

movl   b, %edx
movl   a, %eax
subl   %edx, %eax
movl   %eax, c

movl   b, %eax
movl   $4, %ebx
mull   %ebx
movl   %eax, d
movl   %edx, e

movl   b, %eax
sall   $2, %eax
movl   %eax, d

pushl   e
pushl   d
*arith_exam.s* 61L, 830C 저장 했습니다          21.5          62x
```

CPU 취약점 Meltdown(멜트다운)과 Spectre(스펙터)

멜트다운과 스펙터는 구글 보안기술팀인 Project Zero 제인 혼 수석연구원과 오스트리아 그라츠 공과대학, 업계의 보안 전문가들에 의해 발견되었다.  
구글은 2017년 6월 1일경에 인텔, AMD, ARM등 주요 CPU 제조사에게 이 버그의 존재를 알려주었다. 공개되기 전 패치를 통하여 조지를 취할 수 있도록 하기 위함이다. 이후 1월 3일 Project Zero 블로그를 통해 해당 버그를 공개하였다.

유형1	bounds check bypass (경계검사 우회)	CVE-2017-5753
유형2	branch target injection (분기표적 주입)	CVE-2017-5715
유형3	rogue data cache load (불량데이터캐시 적재)	CVE-2017-5754

유형 1과 2는 스펙터 취약점이며, 유형 3은 멜트다운 취약점이다.  
유형 1과 2에 해당하는 스펙터 취약점은 한 유저 프로그램이 다른 유저 프로그램 메모리를 볼 수 있는 취약점이다.  
유형 3인 멜트다운 취약점은 유저 프로그램이 OS 권한 영역을 훔쳐 볼 수 있는 취약점이다.

스펙터 취약점은 인텔, AMD, ARM 프로세서에서 발견되고, 멜트다운은 인텔 CPU와 일부 ARM Cortex(애플, 삼성 등)에서 발생하고 있다.  
스펙터보다 멜트다운 취약점이 지명적인데, 멜트다운의 경우엔 모든 보안 정책이 무효가 되고 OS의 메모리 정보를 인가받지 않은 사용자가 읽을 수 있다.

(source: <https://jmoon.co.kr/173>)

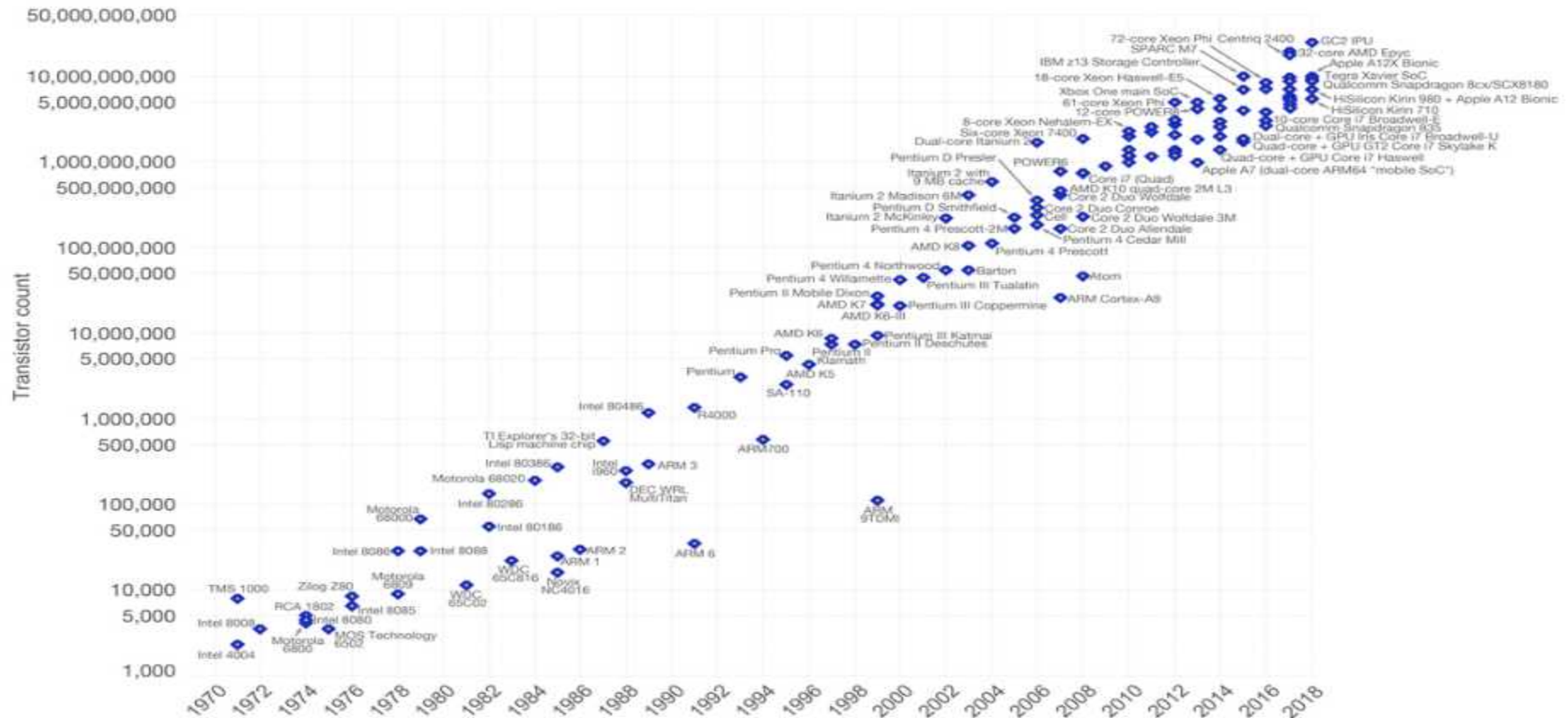


# Technologies of Intel CPU (6/12)

## ■ Moore's law

### Moore's Law – The number of transistors on integrated circuit chips (1971-2018)

Moore's law describes the empirical regularity that the number of transistors on integrated circuits doubles approximately every two years. This advancement is important as other aspects of technological progress – such as processing speed or the price of electronic products – are linked to Moore's law.



Data source: Wikipedia ([https://en.wikipedia.org/wiki/Transistor\\_count](https://en.wikipedia.org/wiki/Transistor_count))  
The data visualization is available at [OurWorldinData.org](https://www.ourworldindata.org). There you find more visualizations and research on this topic.

Licensed under CC-BY-SA by the author Max Roser.

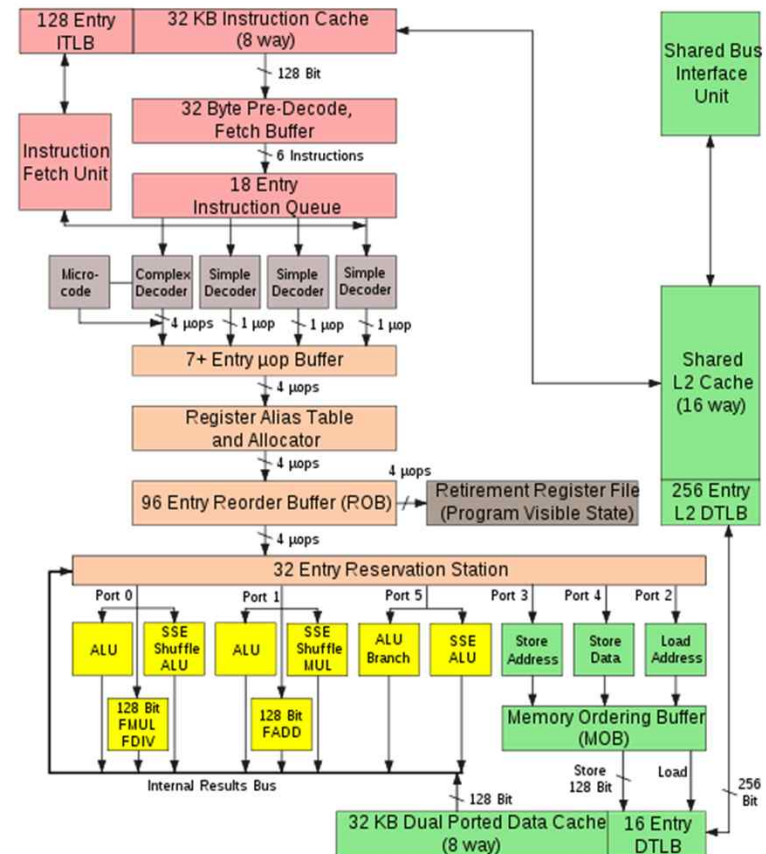
(Source: [https://en.wikipedia.org/wiki/Moore%27s\\_law](https://en.wikipedia.org/wiki/Moore%27s_law))



# Technologies of Intel CPU (7/12)

## ■ Trend

- ✓ Increasing available transistors: multi components, multi channels
- ✓ Superscalar
- ✓ Multimedia support: SIMD
  - MMX technology
  - SSE
  - SSE2/3, AVX
- ✓ Hyper threading
- ✓ 64-bit Supporting
  - IA64 (EPIC)
  - Intel 64
- ✓ Multicore
- ✓ Virtualization



Intel Core 2 Architecture

(From [http://en.wikipedia.org/wiki/File:Intel\\_Core2\\_arch.svg](http://en.wikipedia.org/wiki/File:Intel_Core2_arch.svg))





# Technologies of Intel CPU (8/12)

## ■ SIMD instructions

- ✓ A group of instructions can be performed in parallel
- ✓ Using MMX (64), XMM(128), YMM(256) registers

### ✓ MMX

- integer

### ✓ SSE (Pentium 3)

- Streaming SIMD Extension
- Single precision floating point

### ✓ SSE2 (Pentium 4)

- Double precision floating point

### ✓ SSE3 (Pentium 4)

- HT support
- 13 new SIMD instructions

### ✓ AVX (Sandy Bridge)

- Advanced Vector Extension
- From Sandy Bridge, 256 bit (YMM)

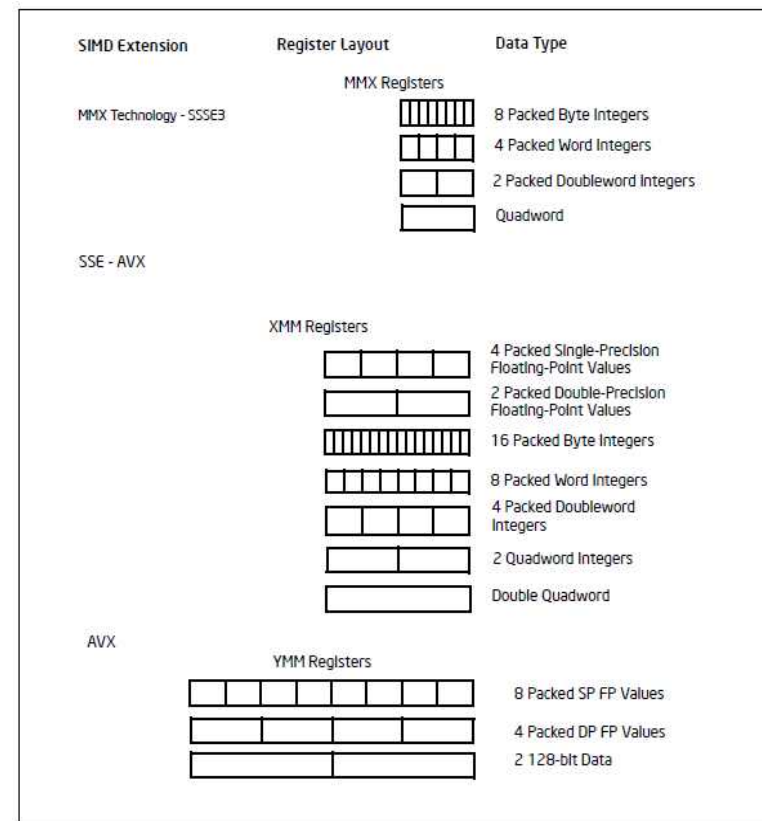


Figure 2-4. SIMD Extensions, Register Layouts, and Data Types



# Technologies of Intel CPU (9/12)

## ■ Hyper threading Technology

- ✓ Support multi-threading at CPU level
- ✓ 2 or more separated code streams using shared execution resources

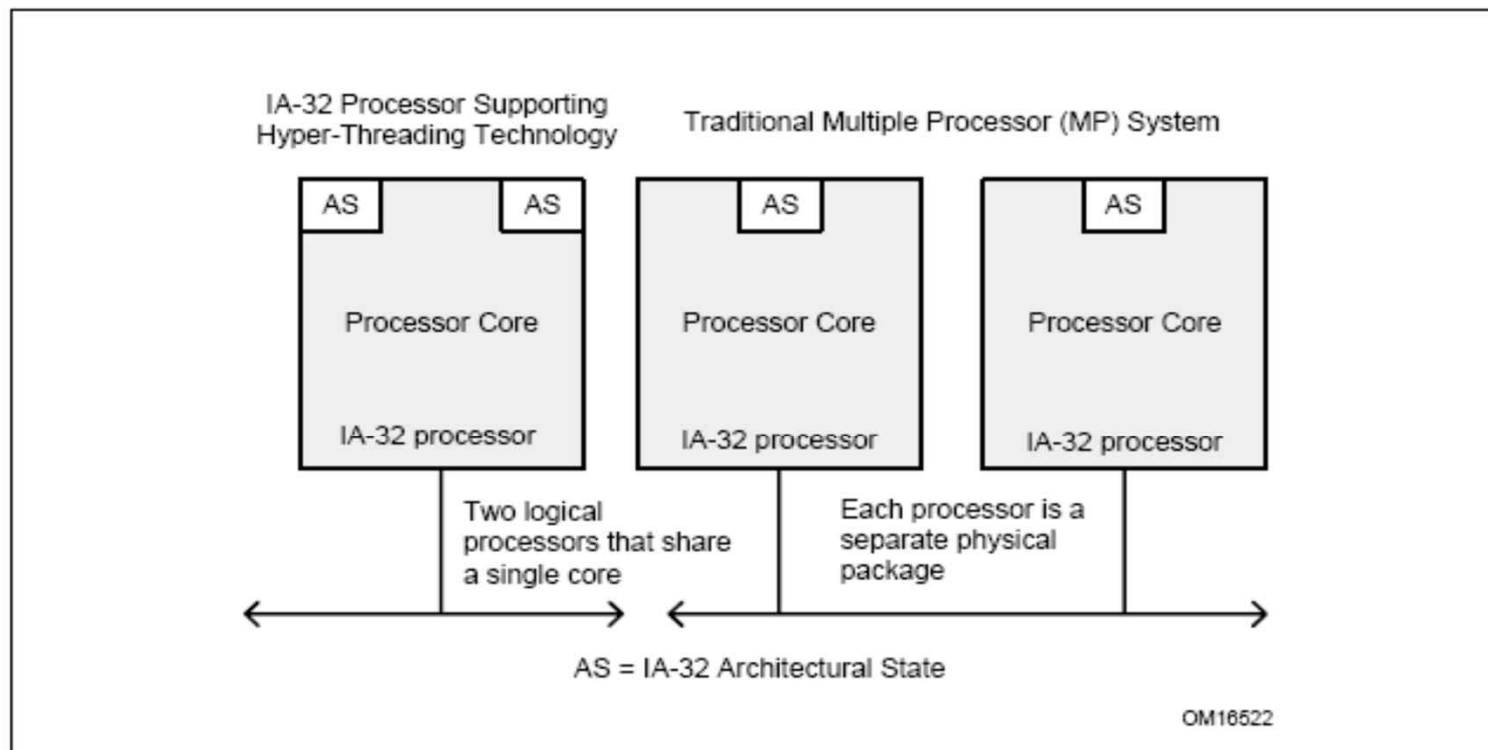


Figure 2-5. Comparison of an IA-32 Processor Supporting Hyper-Threading Technology and a Traditional Dual Processor System



# Technologies of Intel CPU (10/12)

## ■ Multi core Technology

- ✓ Intel Pentium D: dual core based on two Pentium 4 (without HT)
- ✓ Intel Core Duo, Core 2 Duo: dual core with shared bus interface (dual core performance with low cost)
- ✓ Intel Core 2 Quad Processor: Duplicated Core Duo, Core 2 Duo
  - Extreme edition: multi-core with multi architectural states (with HT)
- ✓ Intel Core i7: Quick Path Interconnect, L3, IMC,

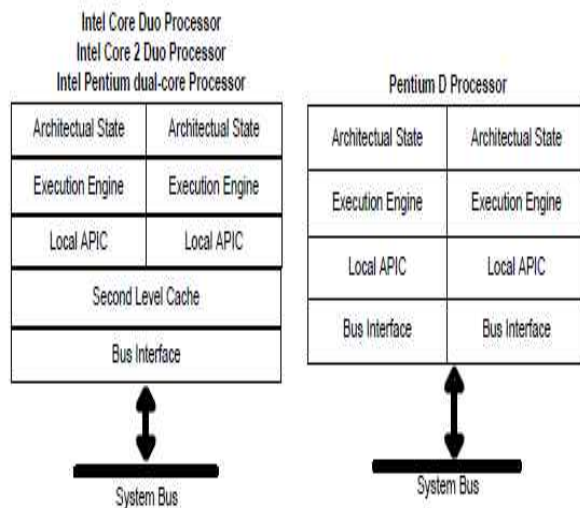


Figure 2-6. Intel 64 and IA-32 Processors that Support Dual-Core

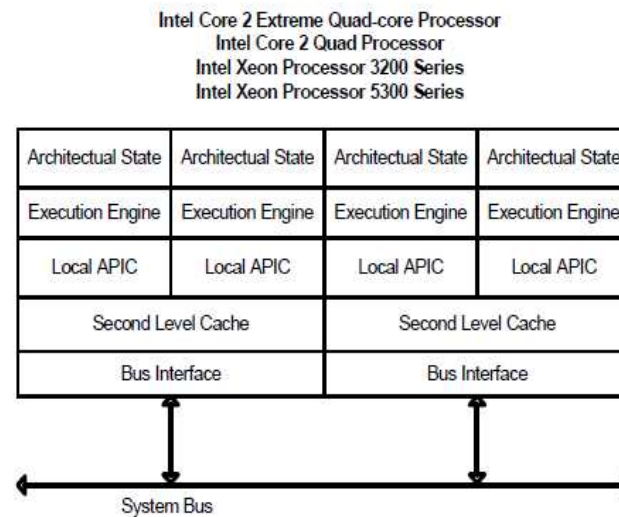


Figure 2-7. Intel 64 Processors that Support Quad-Core

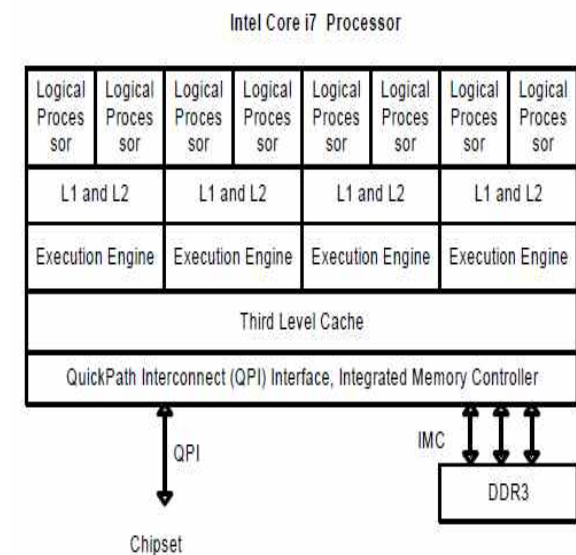


Figure 2-8. Intel Core i7 Processor



# Technologies of Intel CPU (11/12)

## ■ Intel 64

- ✓ Support 64bit address extension: EM64T (Extended Memory 64 Technology), x86-64, IA-32e
- ✓ new operation modes
- ✓ new/enhanced register sets
- ✓ new/enhanced instruction sets
- ✓ 64bit address translation

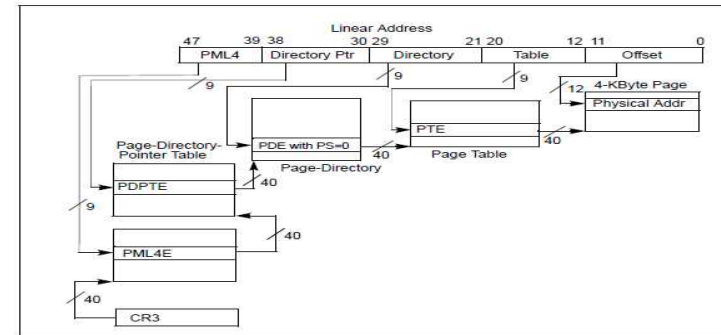
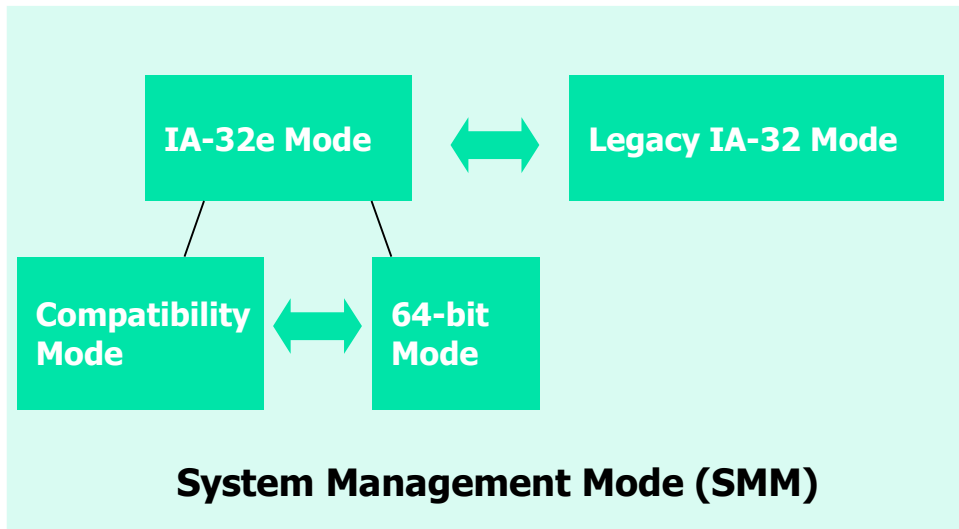


Figure 4-8. Linear-Address Translation to a 4-KByte Page using IA-32e Paging

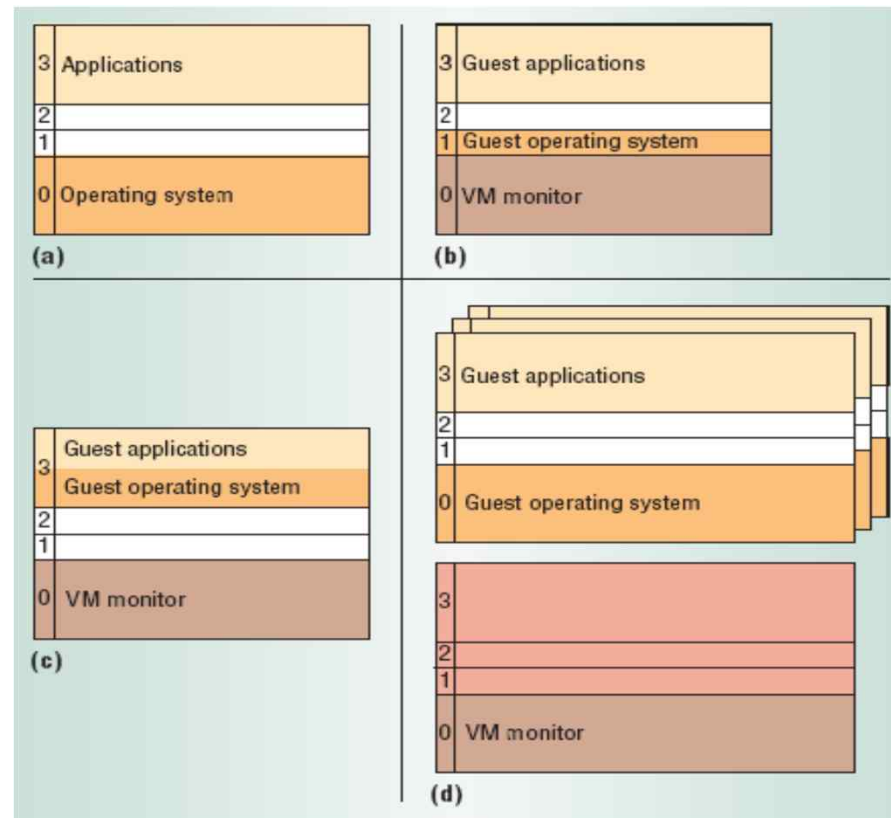
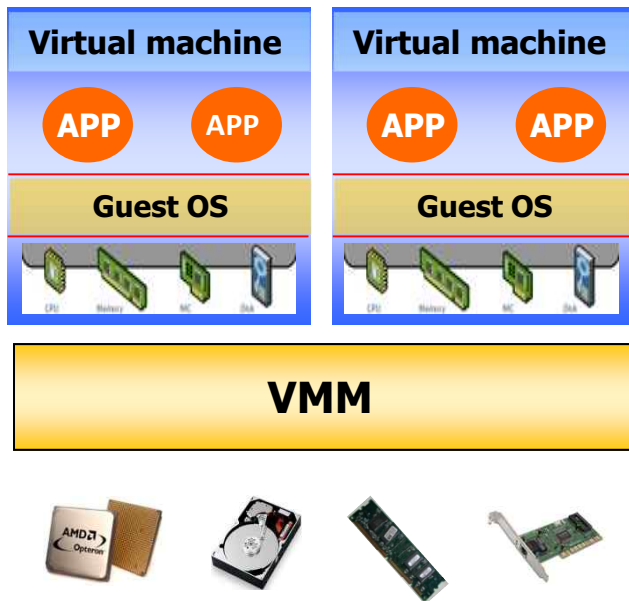


Software Visible Register	64-Bit Mode			Legacy and Compatibility Modes		
	Name	Number	Size (bits)	Name	Number	Size (bits)
General Purpose Registers	RAX, RBX, RCX, RDX, RBP, RSI, RDI, RSP, R8-15	16	64	EAX, EBX, ECX, EDX, EBP, ESI, EDI, ESP	8	32
Instruction Pointer	RIP	1	64	EIP	1	32
Flags	EFLAGS	1	32	EFLAGS	1	32
FP Registers	ST0-7	8	80	ST0-7	8	80
Multi-Media Registers	MM0-7	8	64	MM0-7	8	64
Streaming SIMD Registers	XMM0-15	16	128	XMM0-7	8	128
Stack Width	-	-	64	-	-	16 or 32



# Technologies of Intel CPU (12/12)

- VT (Virtualization Technology)
  - ✓ VMX (Virtual Machine Extension)
    - Direct execution
    - New privilege level





# CPU information in Linux

## ■ lscpu

```
choijm@embedded: ~  
Run 'do-release-upgrade' to upgrade to it.  
  
Last login: Wed Nov 21 12:44:22 2018 from 172.25.235.170  
choijm@embedded:~$  
choijm@embedded:~$ lscpu  
Architecture:          x86_64  
CPU op-mode(s):        32-bit, 64-bit  
Byte Order:            Little Endian  
CPU(s):                2  
On-line CPU(s) list:   0,1  
Thread(s) per core:    1  
Core(s) per socket:    2  
Socket(s):             1  
NUMA node(s):         1  
Vendor ID:             GenuineIntel  
CPU family:            6  
Model:                 23  
Model name:            Intel(R) Core(TM)2 Duo CPU   E7500  @ 2.93GHz  
Stepping:              10  
CPU MHz:               2933.000  
CPU max MHz:           2933.0000  
CPU min MHz:           1600.0000  
BogoMIPS:              5852.10  
Virtualization:        VT-x  
L1d cache:             32K  
L1i cache:             32K  
L2 cache:              3072K  
NUMA node0 CPU(s):    0,1  
Flags:                 fpu vme de pse tsc msr pae mce cx8 apic sep mtrr pge mca  
cmov pat pse36 clflush dts acpi mmx fxsr sse sse2 ss ht tm pbe syscall nx lm con  
stant tsc arch_perfmon pebs bts rep_good nopl cpuid aperfmperf pni dtes64 monito  
r ds_cpl vmx est tm2 sse3 cx16 xtpr pdcm sse4_1 xsave lahf_lm pti retpoline tpr  
_shadow vnmi flexpriority dtherm  
choijm@embedded:~$
```

```
[root@prism81 ~]# lscpu  
Architecture:          x86_64  
CPU op-mode(s):        32-bit, 64-bit  
Byte Order:            Little Endian  
CPU(s):                32  
On-line CPU(s) list:   0-31  
Thread(s) per core:    2  
Core(s) per socket:    8  
Socket(s):             2  
NUMA node(s):         2  
Vendor ID:             GenuineIntel  
CPU family:            6  
Model:                 63  
Stepping:              2  
CPU MHz:               2400.043  
BogoMIPS:              4799.30  
Virtualization:        VT-x  
L1d cache:             32K  
L1i cache:             32K  
L2 cache:              256K  
L3 cache:              20480K  
NUMA node0 CPU(s):    0, 2, 4, 6, 8, 10, 12, 14, 16, 18, 20, 22, 24, 26, 28, 30  
NUMA node1 CPU(s):    1, 3, 5, 7, 9, 11, 13, 15, 17, 19, 21, 23, 25, 27, 29, 31
```

# x86-64: extending IA-32 to 64-bit CPU (1/4)

- From IA-32 to Intel 64 (a.k.a. x86 and x86-64, respectively)
  - ✓ Intel traditional ISA: called as IA-32
    - Start at 1985 (80386)
    - Evolution: add new instructions (e.g. conditional move), also keep backward compatibility
  - ✓ New Intel ISA for 64-bit CPU: called as IA-64
    - Totally new ISAs called EPIC (Explicitly Parallel Instruction Computing)  
→ MIMD
    - Market name: Itanium (2001)
  - ✓ AMD ISA for 64-bit CPU
    - Compatible with IA-32 → win at the market
    - Intel follows: Intel 64 (This is why SW developer manual is named as Intel 64 and IA-32 ...)
    - AMD renames AMD 64 (but x86-64 “persists as a favored name”)



(Source: <https://www.extremetech.com/extreme/167168-the-chip-that-changed-the-world-amds-64-bit-fx-51-ten-years-later/2>)



# x86-64: extending IA-32 to 64-bit CPU (2/4)

## ■ Features of x86-64

- ✓ New data type
  - Pointer becomes 8 bytes
- ✓ Make use of RISC techniques
  - 8 GPR → 16 GPR
  - Register based arguments passing
- ✓  $2^{64}$  address space ( $2^{48}$  in practical)
- ✓ Backward compatible
  - Can run existing SW in compatible mode

C declaration	Intel data type	Assembly code suffix	x86-64 size (bytes)	IA32 Size
char	Byte	b	1	1
short	Word	w	2	2
int	Double word	l	4	4
long int	Quad word	q	8	4
long long int	Quad word	q	8	8
char *	Quad word	q	8	4
float	Single precision	s	4	4
double	Double precision	d	8	8
long double	Extended precision	t	10/16	10/12

Figure 3.34 Sizes of standard data types with x86-64. These are compared to the sizes for IA32. Both long integers and pointers require 8 bytes, as compared to 4 for IA32.

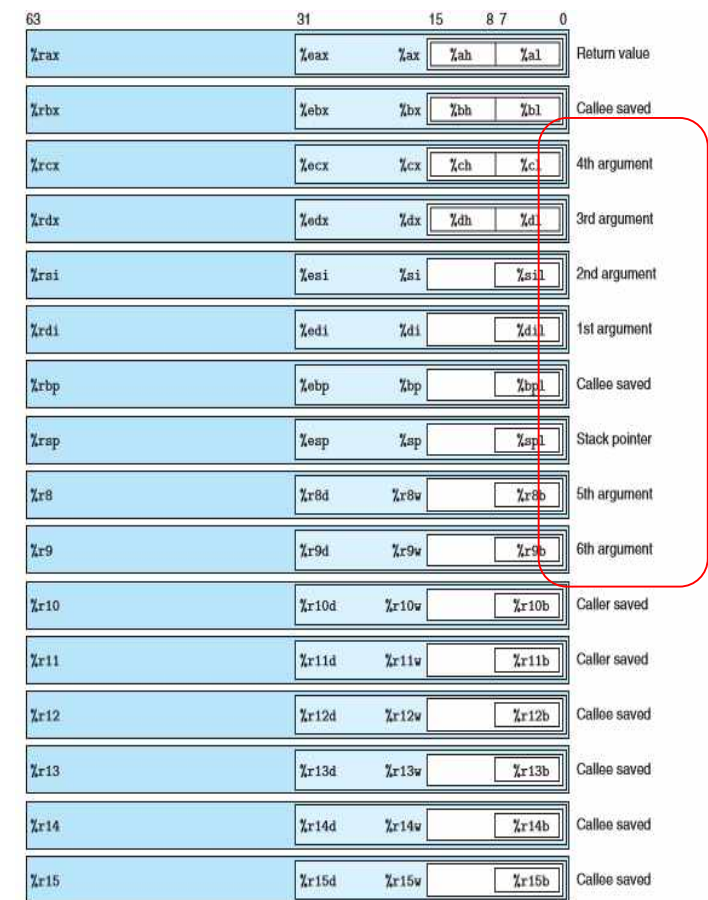


Figure 3.35 Integer registers. The existing eight registers are extended to 64-bit versions, and eight new registers are added. Each register can be accessed either 8 bits (byte), 16 bits (word), 32 bits (double word), or 64 bits (quad word).

# x86-64: extending IA-32 to 64-bit CPU (3/4)

## ■ Assembly code example 1

- ✓ Syntax: 1) rax instead of eax, 2) movq instead of movl, 3) argument passing using registers, 4) No stack frame if possible, 5) make use of PIC (Position Independent Code), ...
  - Register passing → 7 memory references vs. 3 memory references

```
long int simple_1(long int *xp, long int y)
{
    long int t = *xp + y;
    *xp = t;
    return t;
}
```

*IA32 implementation of function simple\_1.*

*xp at %ebp+8, y at %ebp+12*

```
1  simple_1:
2  pushl  %ebp           Save frame pointer (W)
3  movl   %esp, %ebp     Create new frame pointer
4  movl   8(%ebp), %edx   Retrieve xp (R)
5  movl   12(%ebp), %eax  Retrieve yp (R)
6  addl   (%edx), %eax    Add *xp to get t (R)
7  movl   %eax, (%edx)   Store t at xp (W)
8  popl   %ebp           Restore frame pointer (R)
9  ret                                Return (R)
```

*x86-64 version of function simple\_1.*

*xp in %rdi, y in %rsi*

```
1  simple_1:
2  movq   %rsi, %rax     Copy y
3  addq   (%rdi), %rax   Add *xp to get t (R)
4  movq   %rax, (%rdi)   Store t at xp (W)
5  ret                                Return (R)
```





# x86-64: extending IA-32 to 64-bit CPU (4/4)

## ■ Assembly code example2

```
choijm@LAPTOP-LR5HQQBH: ~/Syspro/LN4
choijm@LAPTOP-LR5HQQBH:~/Syspro/LN4$
choijm@LAPTOP-LR5HQQBH:~/Syspro/LN4$ more test.c
#include <stdio.h>

int a = 10;
int b = 20;
int c;

int main()
{
    c = a + b;
    printf("C = %d\n", c);
}
choijm@LAPTOP-LR5HQQBH:~/Syspro/LN4$
choijm@LAPTOP-LR5HQQBH:~/Syspro/LN4$ gcc -S -o test64.s test.c -m64
choijm@LAPTOP-LR5HQQBH:~/Syspro/LN4$
choijm@LAPTOP-LR5HQQBH:~/Syspro/LN4$ gcc -S -o test32.s test.c -m32
choijm@LAPTOP-LR5HQQBH:~/Syspro/LN4$
choijm@LAPTOP-LR5HQQBH:~/Syspro/LN4$ gcc -v
Using built-in specs.
COLLECT_GCC=gcc
COLLECT_LTO_WRAPPER=/usr/lib/gcc/x86_64-linux-gnu/9/lto-wrapper
OFFLOAD_TARGET_NAMES=nvptx-none:hsa
OFFLOAD_TARGET_DEFAULT=1
Target: x86_64-linux-gnu
Configured with: ../src/configure -v --with-pkgversion='Ubuntu 9.3.0-10ubuntu2' --with-bugurl=file:///usr/share/doc/gcc-9/README.Bugs --enable-languages=c,ada,c++,go,brig,d,fortran,objc,obj-c++,gm2 --prefix=/usr --with-gcc-major-version-only --program-suffix=-9 --program-prefix=x86_64-linux-gnu- --enable-shared --enable-linker-build-id --libexecdir=/usr/lib --without-included-gettext --enable-threads=posix --libdir=/usr/lib --enable-nls --enable-clocale=gnu --enable-libstdcxx-debug --enable-libstdcxx-time=yes --with-default-libstdcxx-abi=new --enable-gnu-unique-object --disable-vtable-verify --enable-plugin --enable-default-t-pte --with-system-zlib --with-target-system-zlib=auto --enable-objc-gc=auto --enable-multiarch --disable-werror --with-arch-32=i686 --with-abi=m64 --with-multilib-list=m32,m64,mx32 --enable-multilib --with-tune=generic --enable-offload-targets=nvptx-none,hsa --without-cuda-driver --enable-checking=release --build=x86_64-linux-gnu --host=x86_64-linux-gnu --target=x86_64-linux-gnu
Thread model: posix
gcc version 9.3.0 (Ubuntu 9.3.0-10ubuntu2)
choijm@LAPTOP-LR5HQQBH:~/Syspro/LN4$
```

```
choijm@LAPTOP-LR5HQQBH: ~/Syspro/LN4
.comm c,4,4
.section .rodata
.LC0:
.string "C = %d\n"
.text
.globl main
.type main, @function
main:
.LFB0:
.cfi_startproc
endbr32
leal 4(%esp), %ecx
.cfi_def_cfa 1, 0
andl $-16, %esp
pushl -4(%ecx)
pushl %ebp
.cfi_escape 0x10,0x5,0x2,0x75,0
movl %esp, %ebp
pushl %ebx
pushl %ecx
.cfi_escape 0xf,0x3,0x75,0x78,0x6
.cfi_escape 0x10,0x3,0x2,0x75,0x7c
call __x86.get_pc_thunk.ax
addl $_GLOBAL_OFFSET_TABLE_, %eax
movl a@GOTOFF(%eax), %ecx
movl b@GOTOFF(%eax), %edx
addl %edx, %ecx
movl c@GOT(%eax), %edx
movl %ecx, (%edx)
movl c@GOT(%eax), %edx
movl (%edx), %edx
subl $8, %esp
pushl %edx
leal .LC0@GOTOFF(%eax), %edx
pushl %edx
movl %eax, %ebx
call printf@PLT
addl $16, %esp
movl $0, %eax
leal -8(%ebp), %esp
popl %ecx
.cfi_restore 1
.cfi_def_cfa 1, 0
popl %ebx
"test32.s" line 59
```

```
choijm@LAPTOP-LR5HQQBH: ~/Syspro/LN4
.data
.align 4
.type a, @object
.size a, 4
a:
.long 10
.globl b
.align 4
.type b, @object
.size b, 4
b:
.long 20
.comm c,4,4
.section .rodata
.LC0:
.string "C = %d\n"
.text
.globl main
.type main, @function
main:
.LFB0:
.cfi_startproc
endbr64
pushq %rbp
.cfi_def_cfa_offset 16
.cfi_offset 6, -16
movq %rsp, %rbp
.cfi_def_cfa_register 6
movl a(%rip), %edx
movl b(%rip), %eax
addl %edx, %eax
movl %eax, c(%rip)
movl c(%rip), %eax
movl %eax, %esi
leaq .LC0(%rip), %rdi
movl $0, %eax
call printf@PLT
movl $0, %eax
popq %rbp
.cfi_def_cfa 7, 8
ret
.cfi_endproc
.LFE0:
.size main, .-main
"test64.s" line 47
```



# Summary

---

- Discuss the issues of ISA
- Grasp several operand addressing modes
- Understand how context switch works, memory alignment, ...
- Apprehend the technologies of IA
  - ✓ Pipelining
  - ✓ Dynamic execution
  - ✓ Cache (L1, L2, L3)
  - ✓ Superscalar
  - ✓ MMX
  - ✓ Hyper-threading
  - ✓ Multi core
  - ✓ Intel 64
  - ✓ Virtualization Technology





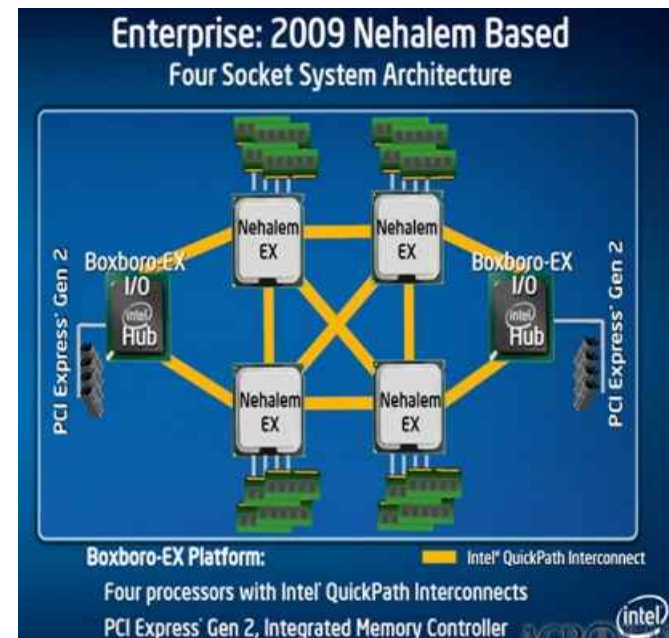
# Quiz for 12<sup>th</sup>-Week 2<sup>nd</sup>-Lesson

## ■ Quiz

- ✓ 1. Discuss the differences between x86 (32-bit) and x86-64 (64-bit) in an assembly code (at least 3)
- ✓ 2. Explain information that we can observe using the “lscpu” command. Among them, what is the NUMA?
- ✓ Due: until 6 PM Friday of this week (26<sup>th</sup>, November)

```
# lscpu
Architecture: x86_64
CPU op-mode(s): 32-bit, 64-bit
Byte Order: Little Endian
CPU(s): 40
On-line CPU(s) list: 0-39
Thread(s) per core: 1
Core(s) per socket: 10
CPU socket(s): 4
NUMA node(s): 4
. . . .
L1d cache: 32K
L1i cache: 32K
L2 cache: 256K
L3 cache: 30720K
NUMA node0 CPU(s): 0, 4, 8, 12, 16, 20, 24, 28, 32, 36
NUMA node1 CPU(s): 2, 6, 10, 14, 18, 22, 26, 30, 34, 38
NUMA node2 CPU(s): 1, 5, 9, 13, 17, 21, 25, 29, 33, 37
NUMA node3 CPU(s): 3, 7, 11, 15, 19, 23, 27, 31, 35, 39
```

```
# numactl --hardware
available: 4 nodes (0-3)
node 0 cpus: 0 4 8 12 16 20 24 28 32 36
node 0 size: 65415 MB
node 0 free: 63482 MB
node 1 cpus: 2 6 10 14 18 22 26 30 34 38
node 1 size: 65536 MB
node 1 free: 63968 MB
node 2 cpus: 1 5 9 13 17 21 25 29 33 37
node 2 size: 65536 MB
node 2 free: 63897 MB
node 3 cpus: 3 7 11 15 19 23 27 31 35 39
node 3 size: 65536 MB
node 3 free: 63971 MB
node distances:
node  0  1  2  3
0:  10  21  21  21
1:  21  10  21  21
2:  21  21  10  21
3:  21  21  21  10
```



(source: <https://www.slideshare.net/tommylee98229/shak-larryjederperfundtuningsummit14part1final>)

