

# Lecture Note 6. File System Basic

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- Chap 35. A Dialogue on Persistence
- Chap 36. I/O Devices
- Chap 37. Hard Disk Drives
- Chap 38. RAID
- Chap 39. Interlude: Files and Directories
  - ✓ APIs for file, directory and file system
- Chap 40. File System Implementation
  - ✓ Layout: superblock, bitmap, inode, data blocks, ...
  - Interface (access method): open, read, write, close, Iseek, fsync, mount, ...

# Chap. 35 A Dialogue on Persistence

**Professor:** And thus we reach the third of our four ... err... three pillars of operating systems: **persistence**.

**Student:** Did you say there were three pillars, or four? What is the fourth?

**Professor:** No. Just three, young student, just three. Trying to keep it simple here.

**Student:** OK, fine. But what is persistence, oh fine and noble professor?

**Professor:** Actually, you probably know what it means in the traditional sense, right? As the dictionary would say: "a firm or obstinate continuance in a course of action in spite of difficulty or opposition."

**Student:** It's kind of like taking your class: some obstinance required.

**Professor:** Ha! Yes. But persistence here means something else. Let me explain. Imagine you are outside, in a field, and you pick a —

Student: (interrupting) I know! A peach! From a peach tree!

**Professor:** I was going to say apple, from an apple tree. Oh well; we'll do it your way, I guess.

Student: (stares blankly)

**Professor:** Anyhow, you pick a peach; in fact, you pick many many peaches, but you want to make them last for a long time. Winter is hard and cruel in Wisconsin, after all. What do you do?

**Student:** Well, I think there are some different things you can do. You can pickle it! Or bake a pie. Or make a jam of some kind. Lots of fun!

**Professor:** Fun? Well, maybe. Certainly, you have to do a lot more work to make the peach **persist**. And so it is with information as well; making information persist, despite computer crashes, disk failures, or power outages is a tough and interesting challenge.

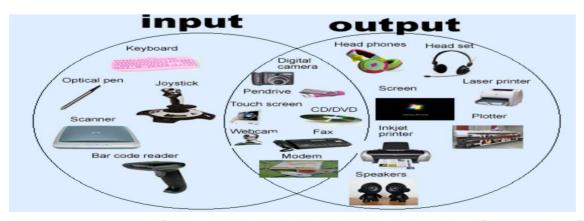
Student: Nice segue; you're getting quite good at that.

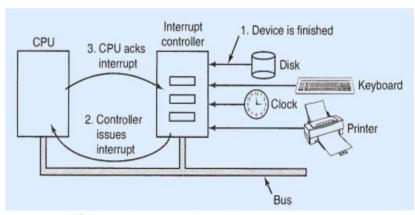
Professor: Thanks! A professor can always use a few kind words, you know.

Persistence: Making information durable despite of computer crash, disk failures and so on

# Chap. 36 I/O Devices

- 36.1 System Architecture
- 36.2 A Canonical Device
- 36.3 The Canonical Protocol
- 36.4 Lowering CPU overhead with Interrupt
- 36.5 More Efficient Data Movement with DMA
- 36.6 Methods of Device Interaction
- 36.7 Fitting into the OS: The Device Driver
- 36.8 Case Study: A simple IDE Disk Driver
- 36.9 Historical Notes



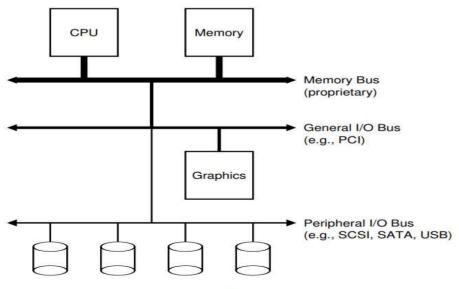


(Source: <a href="https://gcallah.github.io/OperatingSystems/IOHardware.html">https://gcallah.github.io/OperatingSystems/IOHardware.html</a>)

# 36.1 System Architecture

## Computer system focusing on Bus

- ✓ Hierarchical structure
  - Memory bus (System bus): CPU and Memory
    - · Fast, Expensive, Short
  - I/O bus: SCSI, SATA, USB (and/or separated bus for Graphic Cards)
    - · Slow, Less expensive, long, pluggable
- ✓ Modern system
  - Special interconnect: Memory interconnect (e.g. QPI, Hyperport),
     Graphic interconnect
  - Make use of specialized chipsets: I/O chips with different interfaces



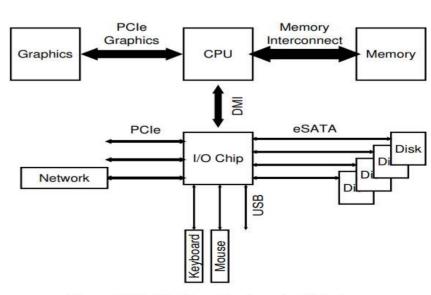


Figure 36.1: Prototypical System Architecture

Figure 36.2: Modern System Architecture

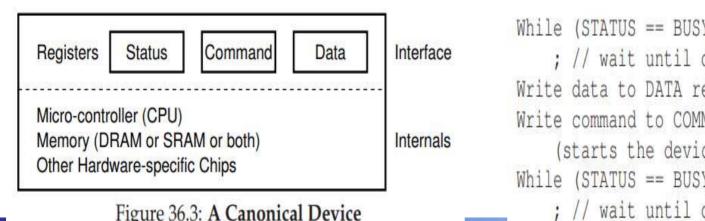
## 36.2 A Canonical Device / 36.3 The Canonical Protocol

#### Devices

- ✓ Interface parts
  - Registers: command, status, data
- ✓ Internals
  - Logic: controller and special chips (device specific) + SW (called firmware)
  - Memory: I/O Buffer (e.g. store receiving packet, delayed write, ...)

#### Protocol

- ✓ How to interact with devices?
  - Example: Four steps 1) idle check, 2) data, 3) command, 4) finish check
- √ 3 mechanisms: 1) PIO(Programmed I/O), 2) Interrupt, 3) DMA
  - PIO: CPU performs all steps including idle/finish checking (polling)



```
While (STATUS == BUSY)
  ; // wait until device is not busy
Write data to DATA register
Write command to COMMAND register
  (starts the device and executes the command)
While (STATUS == BUSY)
  ; // wait until device is done with your request
```

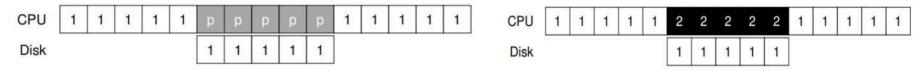
# 36.4 Lowering CPU overhead with Interrupt

#### Interrupt vs Polling

- ✓ Comparison
  - Polling: Checking status (busy or idle, like spin) → thread state: running (still hold CPU while its usage is only checking device status)
  - Interrupt: Inform when device is idle (or work is done) → thread state: sleeping (release CPU which can be utilized usefully by other threads
    - Note) Interrupt definition: a mechanism that informs an event to OS

#### ✓ Example

Thread 1 requests disk access (read or write)



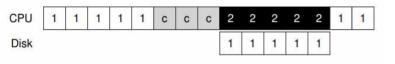
#### ✓ Tradeoffs

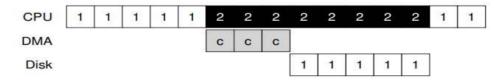
- Benefit of Interrupt: overlapping
  - Interrupt: CPU can do other useful job (for thread 2) while doing I/Os (for thread 1)
  - Polling: CPU just polling (actually waiting) while doing I/Os
- New requirement for Interrupt
  - Handling mechanism: call interrupt handler via interrupt table (page 28 in LN 2)
  - Sleep queue management (Context switch overhead)
- Usage suggestion (depend on devices)
  - Slow device: Interrupt, Fast device: Polling (like spin and sleep lock)
  - Optimization: Hybrid, Interrupt coalescing

#### 36.5 More Efficient Data Movement with DMA

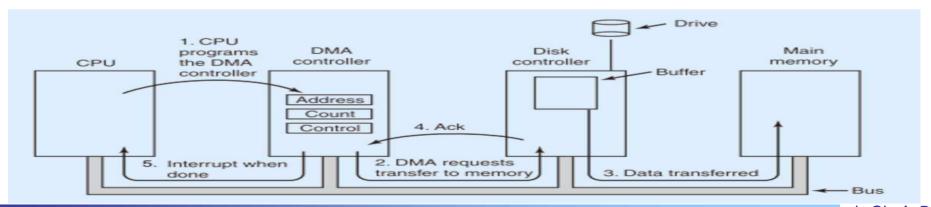
## DMA (Direct Memory Access)

- ✓ Comparison
  - PIO (Programmed I/O): CPU manages data copy between memory and devices
    - Concern: Devices are too slow for CPU (note CPU: ns, Disk: ms)
  - DMA controller performs data copy between memory and devices
    - CPU can do other useful job (better overlapping)
- √ Example
  - Thread 1 requests disk write without/with DMA using Interrupt
  - Data copy (denoted as "c" in the figure is done by CPU vs. DMA)



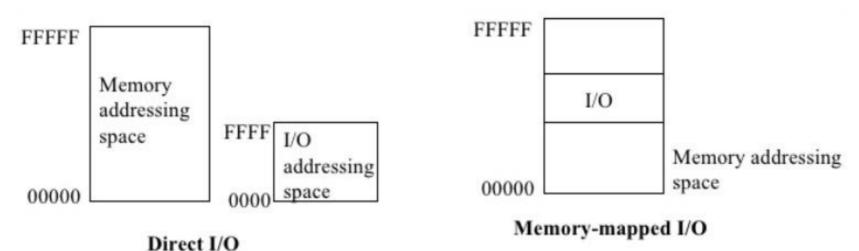


✓ DMA mechanism



#### 36.6. Methods of Device Interaction

- How to address registers in devices?
  - ✓ Two approaches
    - Direct I/O
      - Separated address space
      - Explicit I/O instruction (e.g. in/out + port)
    - Memory-mapped I/O
      - Single address space: DRAM + I/Os
      - Memory access instruction (e.g. load/store + I/O address space)
  - ✓ Privileged instruction for I/O space
    - Kernel mode:okay vs. User mode:protection fault (limited direct execution)
    - Usually accessed in a kernel component called device driver

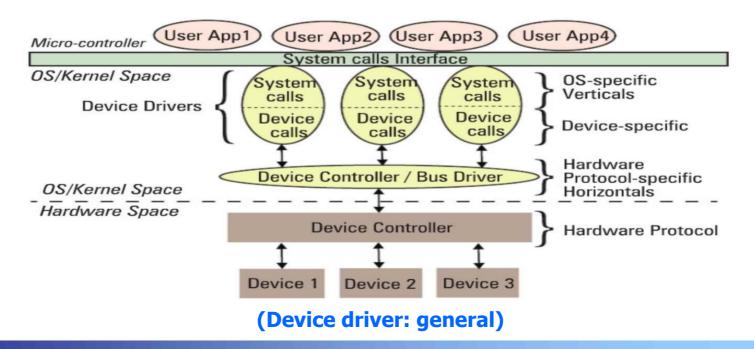


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# 36.7 Fitting into the OS: The Device Driver

#### Device driver

- ✓ A set of software in kernel that abstracts devices.
- ✓ Two layers
  - Device-specific: Manage 1) device registers (command, status, data), 2) interrupt, and 3) DMA
  - OS-specific: Support generic interface such as open, read, write, close,
     ... (like file)
- √ 70% of codes in Linux is device drivers (mostly kernel module)



# 36.7 Fitting into the OS: The Device Driver

## Two types

- ✓ Character device driver (or raw mode)
  - device accessed by user directly
  - System call → Driver → Devices
- ✓ Block device driver
  - device accessed by user through file system (FS)
  - System call → FS → Block layer (buffer cache, scheduler) → Driver →
     Devices

Application

Hardware

Application

**System Call Interface** 

**VFS** 

**Buffer Cache** 

Block D/D

**Device Interface** 

Hardware

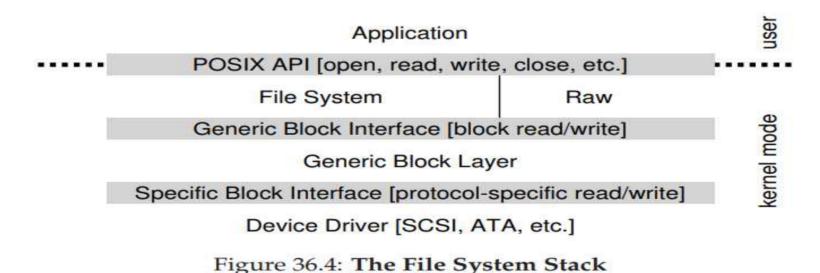
**Char Device Driver** 

Network

Subsystem

Network D/D

Also allow to be accessed in a raw mode



J. Choi, DKU

# 36.8 Case Study: A simple IDE Disk Driver (Optional)

#### A simple IDE disk controller

- ✓ Direct I/O (separated I/O address), I/O instruction: in/out
- ✓ Registers
  - Control (0x3f6), Command block (0x1f2~1f6), Command or Status (0x1f7), Data port (0x1f0), Error (0x1f1)
    - · Note) 1) LBA: Logical Block Address, 2) Status: Busy/Ready, 3) Error: bad block,...
  - Example (low-level interface)
    - Wait for drive ready: read 0x1f7 until the READY bit is on
    - Write: Write sector count and LBA in 0x1f2~1f6 and Start I/O by writing WRITE command in 0x1f7
    - Data transfer: wait until READY and DRQ (Drive Request for Data), write data into the Data port
    - Handling interrupt and/or handling errors

```
Control Register:
  Address 0x3F6 =
                     0x08 (00000 1RE0): R=reset,
                     E=0 means "enable interrupt"
Command Block Registers:
  Address 0x1F0 = Data Port
  Address 0x1F1 = Error
  Address 0x1F2 = Sector Count
  Address 0 \times 1F3 = LBA low byte Address 0 \times 1F4 = LBA mid byte Address 0 \times 1F5 = LBA hi byte
  Address 0x1F6 = 1B1D TOP4LBA: B=LBA, D=drive
  Address 0x1F7 = Command/status
Status Register (Address 0x1F7):
                                             1
   BUSY READY FAULT SEEK DRQ CORR IDDEX ERROR
Error Register (Address 0x1F1): (check when ERROR==1)
                                    ABRT TONF AMNE
   BBK
                         IDNE
   BBK = Bad Block
       = Uncorrectable data error
= Media Changed
   IDNF = ID mark Not Found
   MCR = Media Change Requested
   ABRT = Command aborted
   TONF = Track 0 Not Found
        = Address Mark Not Found
                  Figure 36.5: The IDE Interface
```

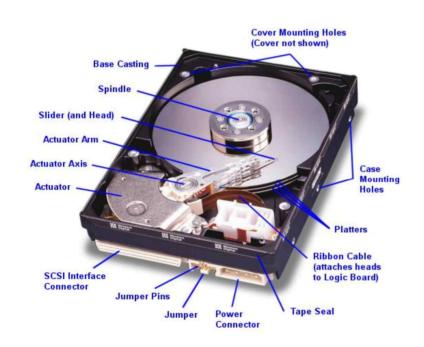
# 36.8 Case Study: A simple IDE Disk Driver (Optional)

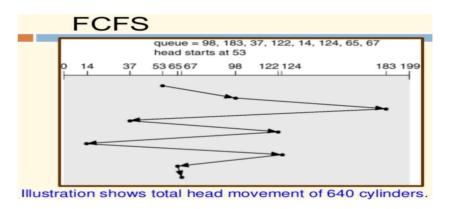
- Driver interface (OS-level interface)
  - ✓ Character driver: open, read, write, close, intr, ...
  - ✓ Block driver: open, close, intr, rw (or request, strategy), ...
    - Note: dynamic loadable kernel module interface for Linux (insmod, rmmod)
- IDE disk driver example: 4 main functions
  - √ ide\_rw() → ide\_wait\_ready() → ide\_start\_request()
  - ✓ ide\_intr()

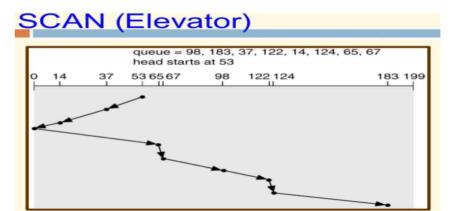
static int ide\_wait\_ready() { while  $(((int r = inb(0x1f7)) \& IDE_BSY) | | !(r \& IDE_DRDY))$ ; // loop until drive isn't busy static void ide\_start\_request(struct buf \*b) { ide\_wait\_ready(); outb(0x3f6, 0); // generate interrupt outb(0x1f2, 1);
outb(0x1f3, b->sector & 0xff); // how many sectors?
// LBA goes here ... outb(0x1f4, (b->sector >> 8) & 0xff); // ... and here outb(0x1f5, (b->sector >> 16) & 0xff); // ... and here // ... and here! outb(0x1f6, 0xe0 | ((b->dev&1)<<4) | ((b->sector>>24)&0x0f)); if (b->flags & B\_DIRTY) { outb (0x1f7, IDE\_CMD\_WRITE); // this is a WRITE outsl(0x1f0, b->data, 512/4); // transfer data too! outb (0x1f7, IDE\_CMD\_READ); // this is a READ (no data) void ide\_rw(struct buf \*b) { acquire (&ide\_lock); for (struct buf \*\*pp = &ide\_queue; \*pp; pp=&(\*pp)->qnext) // walk queue \*pp = b;// add request to end if (ide\_queue == b) // if q is empty ide\_start\_request(b); // send reg to disk while ((b->flags & (B\_VALID|B\_DIRTY)) != B\_VALID) sleep(b, &ide\_lock); // wait for completion release (&ide\_lock); void ide\_intr() { struct buf \*b; acquire (&ide\_lock); if (!(b->flags & B\_DIRTY) && ide\_wait\_ready() >= 0) insl(0x1f0, b->data, 512/4); // if READ: get data b->flags |= B\_VALID; b->flags &= ~B\_DIRTY; // wake waiting process wakeup(b); if ((ide\_queue = b->qnext) != 0) // start next request ide\_start\_request(ide\_queue); // (if one exists) release (&ide\_lock);

#### 37 Hard Disk Drives

- 37.1 The interface
- 37.2 Basic Geometry
- 37.3 A Simple Disk Drive
- 37.4 I/O Time: Doing the Math
- 37.5 Disk Scheduling







(Source: https://www.slideshare.net/PareshParmar6/disk-scheduling-algorithms-71247712)

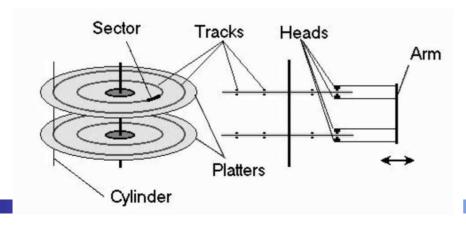
# 37.1 The interface / 37.2 Basic Geometry

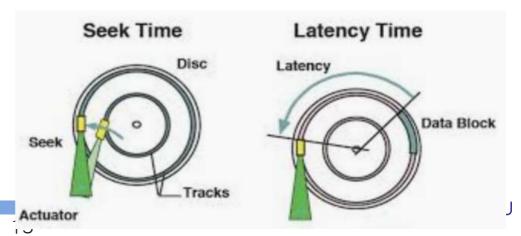
#### Interface

- ✓ Basic unit: Sectors (512-byte)
  - Disk consists of a large number of sectors (0 ~ N-1 sectors or address space)
- ✓ Addressing: LBA (Logical Block Address for disk)
  - Sector addressing: 512B
  - Multi-sector addressing (usually called as a disk block): 4KB or 8KB → Kernel developer's viewpoint: disk is a set of disk blocks whose size is 4KB

#### Basic Geometry

- ✓ Platter (two surface) → Track (thousands tracks per surface) → Sectors
- ✓ Head: sensing data
  - Multiple heads (one per each surface), connected into an arm
- ✓ Data access: seek time + rotation latency (time) + transfer time
  - Cylinder: a set of same tracks in each surface (no seek time required)





# 37.3 A simple Disk Drive

- In a same track access: Figure 37.2
  - ✓ Assume
    - 12 sectors in a track, original head position is 6, target is 10
    - 10,000 RPM (rotation per minute) → 1/6 rotation per ms (millisecond) → a rotation takes 6ms
    - Rotational latency → 2ms in this case (3ms on average)
- Multiple tracks: Figure 37.3
  - ✓ Original head position is 30, target is 11
  - Need not only rotational latency but also seek time (ms)
    - Note that seek and rotational latency perform in parallel
- Track skew: Figure 37.4
  - ✓ To optimize sequential access (e.g. read sector 10, 11, 12, 13)
  - ✓ Other optimizations: multi-zones, disk cache (track buffer)

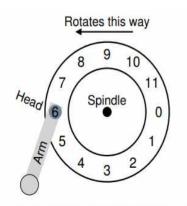


Figure 37.2: A Single Track Plus A Head

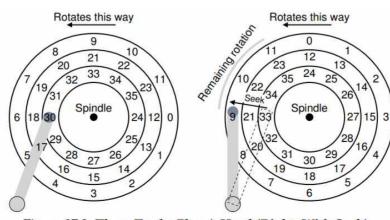
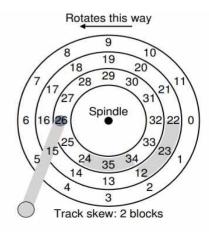


Figure 37.3: Three Tracks Plus A Head (Right: With Seek)



# 37.4 I/O Time: Doing the Math

#### Metrics

- ✓ I/O time (latency)  $T_{I/O} = T_{seek} + T_{rotation} + T_{transfer}$ ✓ I/O rate (bandwidth MB/s)  $Size_{Transfer}$
- $\checkmark$  I/O rate (bandwidth, MB/s)  $R_{I/O} = \frac{Size_{Transfer}}{T_{I/O}}$

#### Workload

- ✓ Random: issues small (e.g., 4KB) reads to random locations on disk
- ✓ Sequential: reads a large number of sectors consecutively (100 MB)
- Disk considered: Figure 37.5
  - Cheetah: a high-performance SCSI drive
  - Barracuda: a drive built for capacity

	Cheetah 15K.5	Barracuda
Capacity	300 GB	1 TB
RPM	15,000	7,200
Average Seek	4 ms	9 ms
Max Transfer	125  MB/s	105 MB/s
Platters	4	4
Cache	16 MB	16/32 MB
Connects via	SCSI	SATA

Figure 37.5: Disk Drive Specs: SCSI Versus SATA

# 37.4 I/O Time: Doing the Math

#### Metrics

- $\checkmark$  I/O time (latency)  $T_{I/O} = T_{seek} + T_{rotation} + T_{transfer}$
- $\checkmark$  I/O rate (bandwidth, MB/s)  $R_{I/O} = \frac{Size_{Transfer}}{T_{I/O}}$

#### Lessons

- ✓ I/O rate calculation: 1) I/O component time, 2) I/O time, 3) I/O rate
- ✓ Random: Seek + Rotation + Transfer per 4KB
  - I/O time: 4ms + 2ms (15000/60\*1000 = ¼ rotation per second → 4ms → 2ms on average) + 0.032ms (4KB / 125MB = 4KB \* 1000 / 125 \* 1000KB)
  - I/O rate: 4KB / 6ms = 0.66 MB/s
- ✓ Sequential: One seek/rotation per large data (e.g. 100MB)
  - I/O time = 4ms + 2ms + 800ms(100MB/125MB/s), I/O rate = 100MB/0.8s
- ✓ Implication
  - Sequential is much faster than random in disk
  - SW engineers need to make programs that access disks in sequential

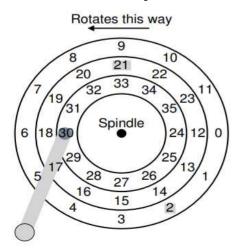
	Cheetah 15K.5	Barracuda		1201	122 11 91
Capacity	300 GB	1 TB		Cheetah	Barracuda
RPM	15,000	7,200		Criccian	Durracada
Average Seek	4 ms	9 ms	$R_{I/O}$ Random	$0.66\mathrm{MB/s}$	$0.31 \mathrm{MB/s}$
Max Transfer	125 MB/s	105  MB/s	rel / O Random	0.00 1110/3	0.01 1110/5
Platters	4	4	$R_{I/O}$ Sequential	$125 \mathrm{MB/s}$	$105 \mathrm{MB/s}$
Cache	16 MB	16/32 MB	m <sub>10</sub> sequential	120 1110/3	100 1110/3
Connects via	SCSI	SATA	F: AF ( DI I D I )	and compared to	

Figure 37.5: Disk Drive Specs: SCSI Versus SATA

Figure 37.6: Disk Drive Performance: SCSI Versus SATA

## 37.5 Disk Scheduling

- Disk scheduler
  - ✓ Role: Examines I/O requests and decides which one to schedule next
- Examples
  - ✓ FCFS (First Come First Serve)
    - Pros) simple, Cons) may cause long seek distance
  - ✓ SSTF (Shortest Seek Time First)
    - Pros) reduce seek distance, Cons) unfair (especially boundary tracks)
  - ✓ SCAN (a.k.a. Elevator) and C-SCAN
    - Moves back and forth across all tracks
    - C-SCAN: handle requests from inner-to-outer, then go back inner tracks directly and handling requests again from inner-to-outer (or reverse)



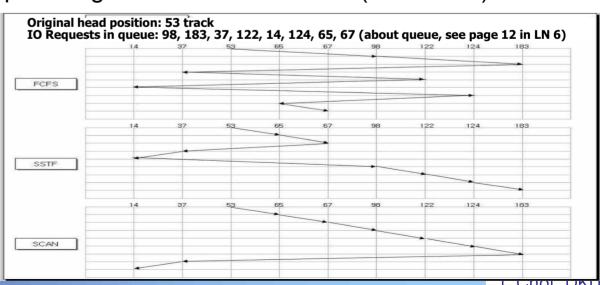


Figure 37.7: SSTF: Scheduling Requests 21 And 2

## 37.5 Disk Scheduling

- Examples (cont')
  - ✓ SPTF (Shortest Positioning Time First)
    - Consider seek and rotation latency
    - Why? Issues that consider seek only → not optimal (Figure 37.8)
      - Head position: 30 (sector), Next requests: 16 and 8
      - SSTF: 16 and then 8 → 1 seek + 5/6 rotation + 1 seek + 2/6 rotation
      - How about 8 and then 16 → 1 seek (relatively further) + 1/6 rotation + 1 seek
         + 4/6 rotation
      - Performance depends on disk characteristics (seek vs. rotation)
    - SPTF select a request who has the smallest position time (seek + rotation time)
- Other scheduling issues
  - ✓ Merge: requests 33, 4, 34, ...
  - Anticipatory disk scheduling

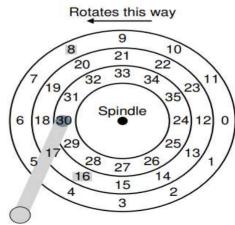


Figure 37.8: SSTF: Sometimes Not Good Enough

# Chap. 39 Interlude: Files and Directories

- 39.1 Files and Directories
- 39.2 File System Interface
- 39.3 Creating Files
- 39.4 Reading and Writing Files
- 39.5 Reading and Writing, But Not Sequentially
- 39.6 Shared file table entries: fork() and dup()
- 39.7 Writing immediately with fsync()
- 39.8 Renaming files
- 39.9 Getting information about files
- 39.10 Removing files
- 39.11 Making Directories
- 39.12 Reading Directories
- 39.13 Deleting Directories
- 39.14 Hard Links
- 39.15 Symbolic Links
- 39.16 Permission Bits and Access Control Lists
- 39.17 Making and Mounting a file system

## Chap. 39 Interlude: Files and Directories

## Computer system

- ✓ Four key abstractions: process (thread), virtual memory, lock, and file
- ✓ Files are in Storage (Hard disk, Solid State Drive)
  - Storage vs. Memory
  - Non-volatility
    - Advantages: Support persistence (store information permanently)
    - Issues: 1) Integrity, 2) Space-efficiency, 3) Consistency, 4) Crash consideration (fault-tolerance), 5) Access control, 6) Security, ...
  - These issues are managed by a file system
- ✓ How to analysis file system?
  - Interface: open, read, write, close, mkdir, link, mount, ... (Chapter 39)
  - Layout: file, directory, inode, FAT, superblock, ... (Chapter 40)



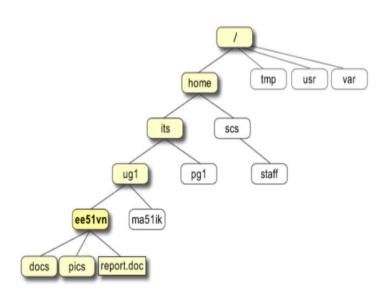
#### 39.1 Files and Directories

#### File

- ✓ Definition: A linear array of characters (bytes), stored persistently
  - Each file has various data structure (text, c code, record, multimedia, ...)
  - But, OS don't care its content, just treating it as a stream of bytes
- Each file has its name (absolute path, relative path)
- ✓ It also has some kind of low-level name in OS (e.g. inode)
  - Like each process has a unique PCB (like program and PCB)

## Directory

- ✓ A special file that constructs a hierarchy (file hierarchy)
  - Root directory
  - Home directory
  - Working directory
- ✓ Contain <file name, inode>
  - or low-level name or first disk block
- Others are also treated as a file
  - ✓ Device, pipe, socket, and even process



# 39.2 File System Interfaces

#### APIs

- ✓ System call: 1) open (return a file descriptor), 2) I/O, 3) attribute, 4) create, 5) name resolution (directory hierarchy traverse), 6) file system management, 7) directory management, ...
- ✓ Internals: 1) allocate/free block, 2) allocate/free inode, 3) namei (name-to-inode), 4) buffer related

#### Filesystem system calls

Return a descriptor	Use namei	Allocate inode	Attributes	I/O	File System Structure Manageme	
open creat dup pipe close	open stat creat link chdir unlink chroot mknod chown mount chmod umount	creat mknod link unlink	chown chmod stat	read write lseek	mount umount	chdir chroot

		Filesyst	tem low level	functions		
	name	ei	alloc free		ialloc if	
iget	iput	bmap	arroc	Iree	lalloc	ifree
		buffer	allocation alg	gorithms		
ge	tblk	brelse	bread	breada	bw	rite

(Source: http://slideplayer.com/slide/9118590/)

# 39.3 Creating Files / 39.4 Reading and Writing Files

#### Create API

✓ open() with create flag (refer to LN1 or Figure 2.6 io.c in OSTEP)

```
int fd = open("foo", O_CREAT|O_WRONLY|O_TRUNC, S_IRUSR|S_IWUSR);
```

- Arguments: 1) name, 2) flags, 3) permissions
- Return: fd (file descriptor)
- creat(): less used (but famous by Ken Thompson's answer about redesigning UNIX)

```
int fd = creat("foo"); // option: add second flag to set permissions
```

#### Read/Write API

- read\_size = read(fd, buf, request\_size);
- written\_size = write(fd, buf, request\_size);
  - Arguments: 1) fd, 2) buffer that points memory space for data, 3) request size
  - Return: read or written size

THE LINUX

PROGRAMMING

# 39.4 Reading and Writing Files

## Read and write example

✓ Command line viewpoint

```
prompt> echo hello > foo
prompt> cat foo
hello
prompt>
```

System call viewpoint (using strace)

```
prompt> strace cat foo
...
open("foo", O_RDONLY|O_LARGEFILE) = 3
read(3, "hello\n", 4096) = 6
write(1, "hello\n", 6) = 6
hello
read(3, "", 4096) = 0
close(3) = 0
...
prompt>
```

#### TIP: USE STRACE (AND SIMILAR TOOLS)

The strace tool provides an awesome way to see what programs are up to. By running it, you can trace which system calls a program makes, see the arguments and return codes, and generally get a very good idea of what is going on.

The tool also takes some arguments which can be quite useful. For example, -f follows any fork'd children too; -t reports the time of day at each call; -e trace=open, close, read, write only traces calls to those system calls and ignores all others. There are many more powerful flags — read the man pages and find out how to harness this wonderful tool.

# 39.5 Reading and Writing, But Not Sequentially

- Conventional accessing mechanism for a file
  - ✓ Sequential
  - From the begin, increasing the offset while reading or writing



- How to access random position? (not sequentially)
  - ✓ Iseek()
    - Arguments: 1) fd, 2) relative offset from whence, 3) reference point

```
off_t lseek(int fildes, off_t offset, int whence);
```

- Whence: SEEK\_SET, SEEK CUR, SEEK\_END
- Explicit update the current offset (c.f. read/write: implicit update)
- Do not confuse Iseek() with disk seek :-)
  - Also do not confuse process and processor

# 39.5 Reading and Writing, But Not Sequentially

- How to manipulate offset (or file position)?
  - ✓ Managed in the file table (PCB  $\rightarrow$  file descriptor  $\rightarrow$  file table  $\rightarrow$  inode)





- Case 1: read a whole file in the while loop, file size = 300 byte
- Case 2: Iseek(fd, 200, SEEK SET)

	Case	3:	open	twice
--	------	----	------	-------

System Calls	Return Code	Current Offset
fd = open("file", O_RDONLY);	3	0
read(fd, buffer, 100);	100	100
read(fd, buffer, 100);	100	200
read(fd, buffer, 100);	100	300
read(fd, buffer, 100);	0	300
close(fd);	0	_

System Calls	Return Code	Current Offset	
fd = open("file", O_RDONLY);	3	0	
lseek(fd, 200, SEEK_SET);	200	200	
read(fd, buffer, 50);	50	250	
close(fd);	0		

System Calls	Code	Offset	Offset
fd1 = open("file", O_RDONLY)	; 3	0	=
fd2 = open("file", O_RDONLY)	; 4	0	0
read(fd1, buffer1, 100);	100	100	0
read(fd2, buffer2, 100);	100	100	100
close(fd1);	0	$(2k-1)^{2}$	100
close(fd2);	0	8 <del>-</del> 7,	<del>5</del> 2

OFT[11]

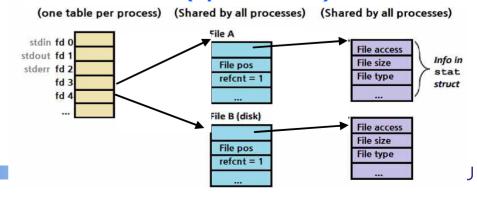
Current

OFT[10]

Current

Return

#### fds in PCB OFT(Open file table) inode table



# 39.6 Shared File Table Entries: fork() and dup()

## file table sharing

- ✓ fork case: shared by different PCBs
- dup case: shared by different fd entries in the same PCB

Figure 39.2: Shared Parent/Child File Table Entries (fork-seek.c)

```
int main(int argc, char *argv[]) {
   int fd = open("README", O_RDONLY);
   assert(fd >= 0);
   int fd2 = dup(fd);
   // now fd and fd2 can be used interchangeably
   return 0;
}
```

Figure 39.4: Shared File Table Entry With dup () (dup.c)

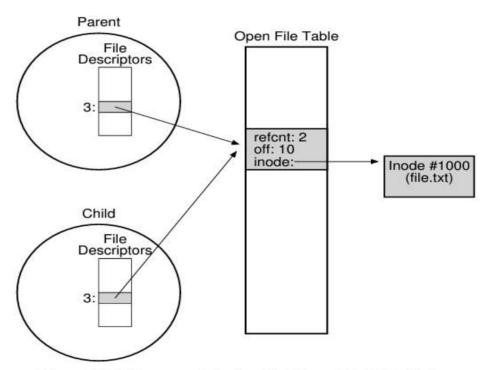
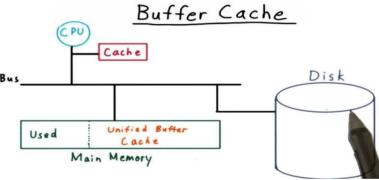


Figure 39.3: Processes Sharing An Open File Table Entry

```
prompt> ./fork-seek
child: offset 10
parent: offset 10
prompt>
```

# 39.7 Writing Immediately with fsync()

- Performance consideration for write
  - ✓ Write to DRAM vs Disk: 100ns vs 10,000,000ns (10ms)
  - ✓ Delayed write
    - Write data into DRAM (called buffer or page cache) and set them dirty
    - Later write all dirty data into disk in a clustering fashion (5 or 30 seconds periodically)
    - Write grouping and write reordering indeed enhance performance
    - Synchronous vs. Asynchronous



- Concern of delayed write
  - ✓ Durability
    - User think his/her data is permanent but not in actuality
  - ✓ How to guarantee durability
    - fsync() system call

```
int fd = open("foo", O_CREAT|O_WRONLY|O_TRUNC, S_IRUSR|S_IWUSR);
assert(fd > -1);
int rc = write(fd, buffer, size);
assert(rc == size);
rc = fsync(fd);
assert(rc == 0);
```

# 39.8 Renaming Files / 39.10 Removing Files

- Change a file name
  - Command line viewpoint

```
prompt> mv foo bar
```

✓ API (system call) viewpoint: editor example

- rename(old name, new name)
- conducted atomically
- Remove a file
  - ✓ API
    - unlink(file name)

```
prompt> strace rm foo
...
unlink("foo") = 0
```

Why not remove() or delete() instead of unlink()? Then, what is link()?

## 39.9 Getting Information about Files

#### Contents in a file system

- Two types of data in file system: User data vs. Metadata
  - User data (or just data): data written by users
  - Metadata: data written by a file system for managing files (in inode) and file system (in superblock)
- ✓ API to see the metadata for a certain file
  - stat(file name, struct stat)
  - fstat(fd, struct stat)

```
struct stat {
             st_dev;
                        /* ID of device containing file */
   dev t
   ino t st ino;
                         /* inode number */
   mode t st mode;
                         /* protection */
   nlink_t st_nlink;
                        /* number of hard links */
           st_uid; /* user ID of owner */
st_gid; /* group ID of owner */
   uid_t
   gid t
   dev t
            st rdev;
                        /* device ID (if special file) */
   off_t st_size; /* total size, in bytes */
   blksize_t st_blksize; /* blocksize for filesystem I/O */
   blkcnt_t st_blocks; /* number of blocks allocated */
   time t
            st_atime; /* time of last access */
   time_t
             st_mtime; /* time of last modification */
   time t
             st_ctime; /* time of last status change */
1 ;
prompt> echo hello > file
prompt> stat file
  File: 'file'
   Size: 6 Blocks: 8
                              IO Block: 4096
                                              regular file
Device: 811h/2065d Inode: 67158084
                                      Links: 1
Access: (0640/-rw-r----) Uid: (30686/ remzi) Gid: (30686/ remzi)
Access: 2011-05-03 15:50:20.157594748 -0500
Modify: 2011-05-03 15:50:20.157594748 -0500
                                                                 oi, DKU
Change: 2011-05-03 15:50:20.157594748 -0500
```

# 39.11 Making Directories / 39.13 Deleting Directories

- API for making directory
  - √ mkdir(name, permission)

```
prompt> strace mkdir foo
...
mkdir("foo", 0777) = 0
...
prompt>
```

- After making
  - Two entries: parent directory and itself

```
prompt> ls -a
./ ../
prompt> ls -al
total 8
drwxr-x--- 2 remzi remzi 6 Apr 30 16:17 ./
drwxr-x--- 26 remzi remzi 4096 Apr 30 16:17 ../
```

- API for deleting directory
  - √ rmdir(file\_name)
  - We need to use it carefully

# 39.12 Reading Directories

## APIs for reading directory

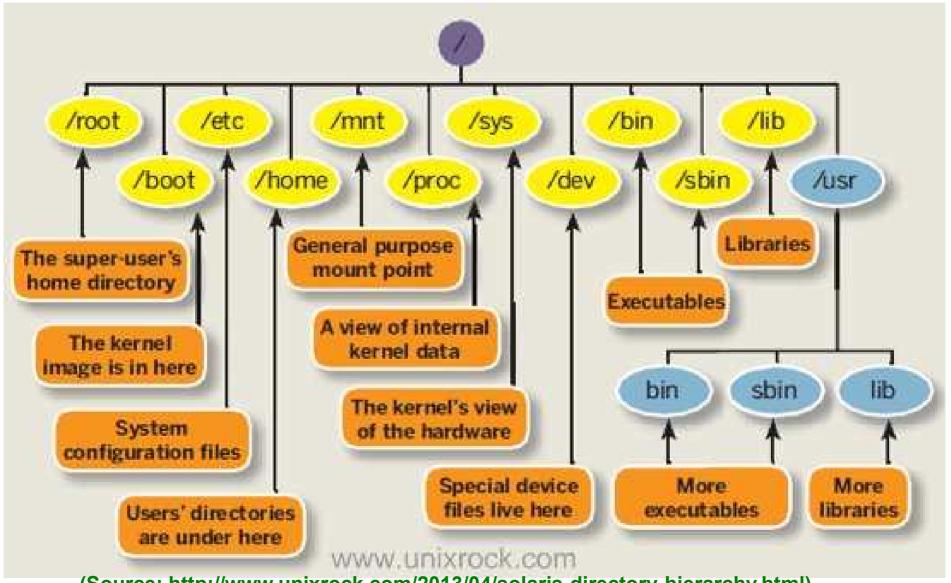
- ✓ opendir(dp), readdir(dp), closedir(dp)
- √ "Is": like the below example (c.f. "Is –I": readdir() + stat())

```
int main(int argc, char *argv[]) {
   DIR *dp = opendir(".");
   assert (dp != NULL);
   struct dirent *d;
   while ((d = readdir(dp)) != NULL) {
       printf("%d %s\n", (int) d->d_ino, d->d_name);
   closedir (dp);
   return 0;
struct dirent {
                d_name[256]; /* filename */
    char
                d_ino; /* inode number */
   ino t
   off t
                  d_off; /* offset to the next dirent */
   unsigned short d_reclen; /* length of this record */
   unsigned char d_type; /* type of file */
};
```

Why there is no writedir()?

# 39.12 Reading Directories

Directory name convention



(Source: http://www.unixrock.com/2013/04/solaris-directory-hierarchy.html)

#### 39.14 Hard Links

#### Link

- Make another file name to access an existing file
  - Connect a file name with an inode
- ✓ Command line viewpoint
  - Either file or file2

```
prompt> echo hello > file
prompt> cat file
hello
prompt> ln file file2
prompt> cat file2
hello
```

prompt> ls -i file file2 67158084 file 67158084 file2 prompt>

- ✓ API
  - link(old name, new name)
- ✓ After remove one of them
  - Use unlink()
  - Still remain data

```
prompt> rm file
removed 'file'
prompt> cat file2
hello
```

- ✓ Link count
  - Delete data when link count is 0

```
prompt> echo hello > file
prompt> stat file
                       Links: 1 ...
... Inode: 67158084
prompt> In file file2
prompt> stat file
... Inode: 67158084
                       Links: 2 ...
prompt> stat file2
                       Links: 2 ...
... Inode: 67158084
prompt> In file2 file3
prompt> stat file
                       Links: 3 ...
... Inode: 67158084
prompt> rm file
prompt> stat file2
                       Links: 2 ...
... Inode: 67158084
prompt> rm file2
prompt> stat file3
... Inode: 67158084
                       Links: 1 ...
prompt> rm file3
```

### 39.15 Symbolic Links / 39.16 Permission bits

#### Link

- Hard link: share inode number
  - Create a new file name and share the existing inode
- Symbolic link (Soft link): different inode number, but its data is the linked file name
  - Create not only a new file name but also a new inode (set it as a symbolic link)
  - Can link between different file systems, Can link to a directory
- Dangling reference in symbolic link

#### Permission bits

✓ Three types of users (owner, group, others), permissions (r/w/x)

```
oslab@osLab: ~/os_test
   ab@osLab:~/os_test$ ls -al
             2 oslab oslab 4096
drwxr-xr-x 22 oslab oslab 4096
        sLab:~/os test$ echo "hello world" > file1
           :~/os test$ ln file1 file2
          ab:~/os_test$ ln -s file1 file3
                   2 oslab oslab 4096
    drwxr-xr-x 22 oslab oslab 4096
                                             23 12:09 file1
23 12:09 file2
                   2 oslab oslab
                   2 oslab oslab
                                     12
                         rm file1
          ab:~/os test$
   ab@osLab:~/os test$ cat file2
<mark>oslab@osLab:~/os_test</mark>$ cat file3
cat: file3: 그런 파일이나 디렉터리가 없습니다
```

## 39.17 Making and Mounting a File System

#### File system

- Make a file system
  - Assemble directories and files
  - Related metadata: superblock, bitmap, ... (main topic in chapter 40)
  - Command: mkfs
    - Make an empty file system (only root directory) in a disk partition

- How to make partitions?: fdisk
- ✓ Example
  - Partitioning and mkfs
  - Ext2/3/4, NFS, LFS, proc, sysfs, ... per a partition



```
pritam@PPH:—$ sudo fdisk - l
[sudo] password for pritam:

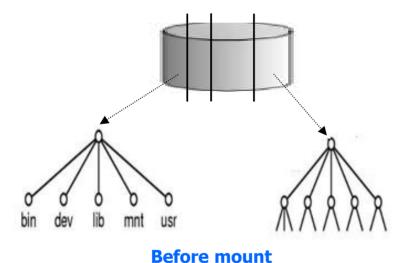
Disk /dev/sda: 500.1 GB, 500107862016 bytes

Journal of the stat of the stat of the state of the s
```

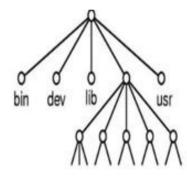
# 39.17 Making and Mounting a File System

### File system

- ✓ Mount
  - Make a file system visible to users
  - Connect multiple file systems within the uniform directory tree
    - mount arguments: 1) FS type, 2) partition, 3) mount point



\$mount -t ext3 /dev/sda4 /mnt



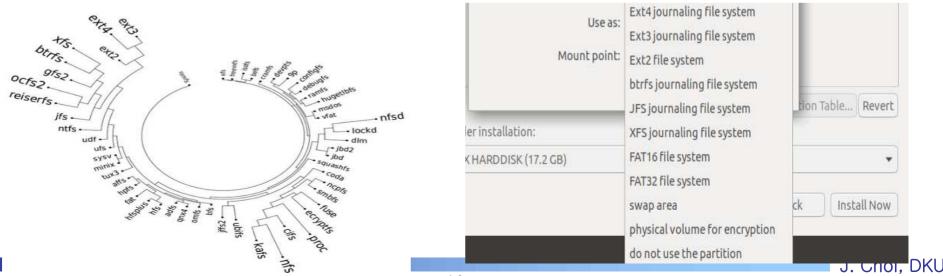
**After mount** 

- mount point: mnt in the previous example → point the root of the mounted FS
  - Why multiple partitions?

## Chap. 40 File System Implementation

### Objective of this chapter

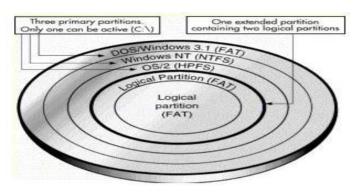
- ✓ A variety of file systems
  - UFS, FFS, EXT2/3/4, JFS, LFS, NTFS, F2FS, FUSE, RAMFS, NFS, AFS, ZFS, GFS, FATFS, BtrFS, ....
- ✓ Make a new file system: called VSFS(Very Simple File System)
  - Simplified version of UFS (Unix File System)
  - 1) On-disk structures: inode, bitmap, directory, ...
  - 2) Access method: read, write, ...
  - 3) Various policies: cache, delayed write, ...
- ✓ More complex file systems → next chapters

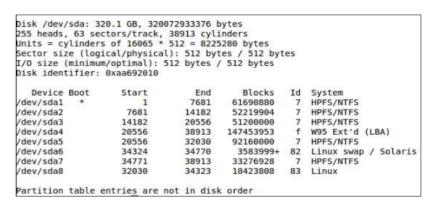


## 40.1 The Way to Think / 40.2 Overall Organization

#### Disk

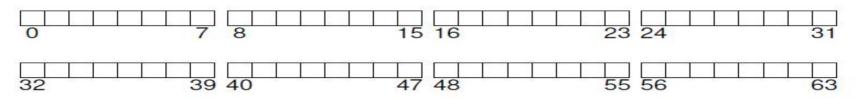
- Consist of partitions
- A file system is created in each partition





#### Partition

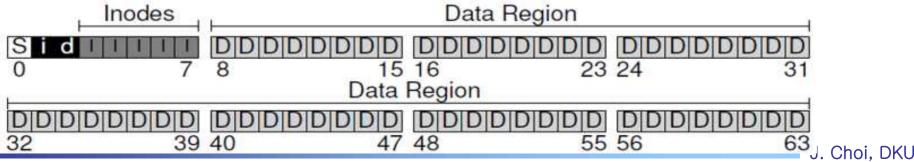
- ✓ Consist of disk blocks
- ✓ User data is stored in a disk block (usually same size with the page)
- Assume a partition having 64 disk blocks (or simply blocks)



Now consider what data structures are required for making a FS?

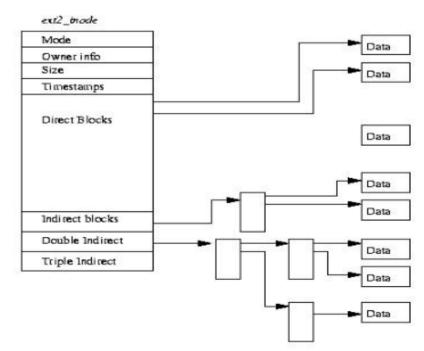
## 40.2 Overall Organization

- Layout of a file system (VSFS)
  - ✓ Superblock: 0 blocks
    - Metadata for managing a file system (one per a file system)
      - Information: how many data blocks, inodes, where they begin, ...
    - Used during a mount function
  - √ Bitmap: 1~2 blocks
    - Metadata for managing free space (allocation structure)
    - Two bitmaps: one for data blocks and the other for inodes
  - ✓ Inode: 3~7 blocks
    - Metadata for managing files (one per a file)
    - Inode size = 256B → 16 inodes per a block → 5 blocks for inode → total 80 files can be created
  - ✓ User data: 8 ~ 63 blocks (can be dynamically adjusted)
    - Data written by users



- How to manage metadata for a file
  - √ inode (index node)
    - File information such as mode, uid, size, time, link count, blocks, ...
      - Can be accessed using stat()
    - Locations of User data blocks → Multi-Level index and Imbalanced tree
      - Direct block pointers (10 or 12 or 15), Single/Double/Triple indirect block pointers(1/1/1)
      - Benefit: Fast for a short file and Big size support for a large file
  - Other approach: FAT (linked based), Extent-based, Log-based, ...

Size	Name	What is this inode field for?
2	mode	can this file be read/written/executed?
2	uid	who owns this file?
4	size	how many bytes are in this file?
4	time	what time was this file last accessed?
4	ctime	what time was this file created?
4	mtime	what time was this file last modified?
4	dtime	what time was this inode deleted?
2	gid	which group does this file belong to?
2	links_count	how many hard links are there to this file?
4	blocks	how many blocks have been allocated to this file
4	flags	how should ext2 use this inode?
4	osd1	an OS-dependent field
60	block	a set of disk pointers (15 total)
4	generation	file version (used by NFS)
4	file_acl	a new permissions model beyond mode bits
4	dir_acl	called access control lists

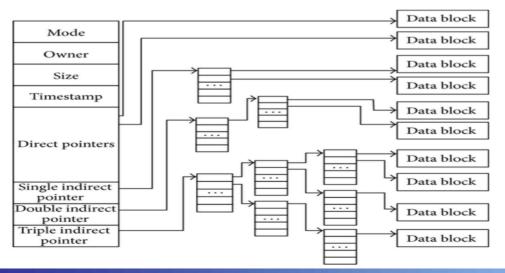


Outer Inde

Figure 40.1: Simplified Ext2 Inode

How large size can be supported by direct block pointers? How about an indirect pointer?

- Maximum file size supported by an inode
  - ✓ Sum up: 48KB + 4MB + 4GB + 4TB
    - Direct block point: 12 x 4KB
    - Single indirect block pointers: 1 x 1024 x 4KB
      - Why 1024: 4KB / pointer size = 4KB/4B = 1024
    - Double indirect block pointers: 1 x 1024 x 1024 x 4KB
    - Triple indirect block pointers: 1 x 1024 x 1024 x 1024 x 4KB
  - ✓ Benefits of imbalance tree: both performance and large size
    - Small file: direct access via an inode
      - Indirect block → require additional disk I/Os
    - Large file: support large size with the simple structure of inode

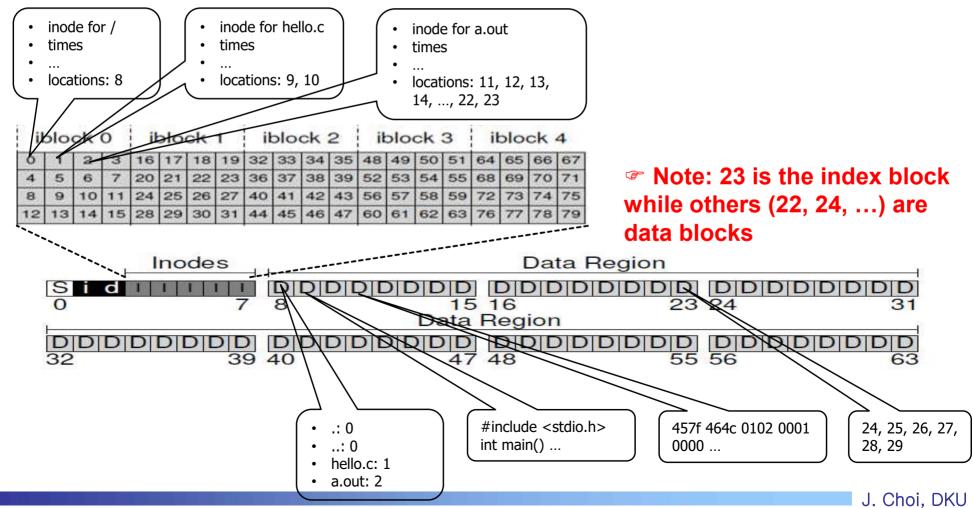


Most files are small
Average file size is growing
Most bytes are stored in large files
File systems contains lots of files
File systems are roughly half full
Directories are typically small

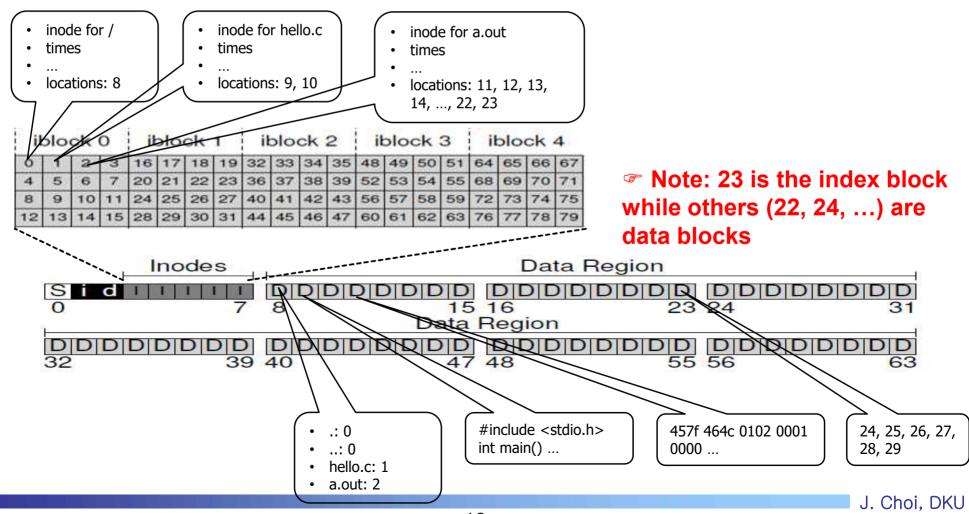
Roughly 2K is the most common size
Almost 200K is the average
A few big files use most of the space
Almost 100K on average
Even as disks grow, file systems remain ~50% full
Many have few entries; most have 20 or fewer

Figure 40.2: File System Measurement Summary

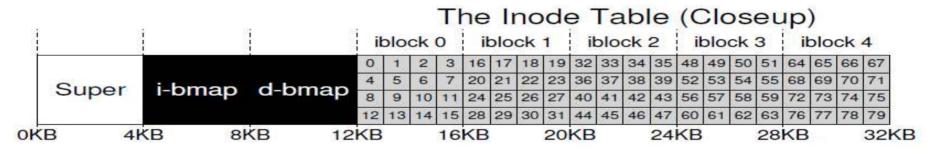
- inode manipulation example (assume 12 direct blocks)
  - ✓ When we create a new file (named hello.c whose size is 7KB) in a root directory?
  - ✓ Then, we compile it? (a.out whose size is 70KB)



- inode manipulation example (assume 12 direct blocks)
  - ✓ How to read the a.out?
    - e.g. fd = open("/a.out", O RDONLY);



- Find a location: inode and data in a real
  - How to find the location of an inode?
    - Directory entry: <file name, i\_number>
    - i\_number is used as the index in inode table (quotient and remainder)
      - e.g.) i\_number = 33 → 33 / (inodes per block) = 33/16 = 2 ... 1 → inode table start + 4KB x 2 = 12KB + 8KB = 20KB → read a block starting 20KB → go to the offset of inode\_size x 1 = 256B



- How to find the location of User data?
  - 1) Find inode, 2) file's current\_offset / disk block size = quotient ...
    remainder, 3) quotient is used to find a pointer in the inode (multi-level index), 4) remainder is used as the offset in the disk block
    - e.g.) file's current\_offset=5000 → 5000/(block size) = 5000/4096 = 1 ... 904
      - → index 1 in inode (e.g. block 12 in the previous slide when the file is a.out)
      - → read block 12 → go to the 904 in the block

## 40.4 Directory Organization / 40.5 Free Space Mgmt.

#### Directory

- User viewpoint: containing files at a same location
- ✓ System viewpoint: A list of pairs <file name, inode number>
- ✓ For fast search, add the file name length and record length (total bytes including left over space)

inum	1	reclen	strlen	1	name
5		4	2		•
2		4	3		
12		4	4		foo
13		4	4		bar
24		8	7		foobar

✓ Can use more complex structure for directory (e.g. B-tree in XFS)

#### Free space

- ✓ Bitmap: one bit per block (or inode), indicating whether it is free or used
- ✓ Alternative approach: free-list, tree, ...
- ✓ Pre-allocation: allocate free disk blocks in a batch manner → less overhead, contiguous allocation, ...

```
Bit Map:

1 0 0 1 0 1 1 1 0 0 0 1 1 0 1 1

Memory:
```

## 40.6 Access Paths: Reading and Writing

#### Reading a file from disk

- ✓ open a file "/foo/bar" whose size is 12KB, read data and close it
- ✓ Timeline

	data bitmap	inode bitmap	1		bar inode				bar data[1]	bar data[2]
7			read	211		read				
open(bar)				read			read			
	3				read read					
read()								read		
					write					
read()		Ĭ			read				read	
					write					
read()					read					
					write				reac	

Figure 40.3: File Read Timeline (Time Increasing Downward)

- Open: directory tree traverse → connect fd to inode
- Read: current\_offset → find disk block location using the inode and read it → update the last access time in the inode
- Close: deallocate fd and related data structure in OS, No actions in disk
- Note: repeated reads for the bar's inode → How about caching it!

## 40.6 Access Paths: Reading and Writing

#### Writing a file into disk

- Create a file "/foo/bar", write data (also 12KB) and close it
- ✓ Timeline

	data	inode	root	foo	bar	root	foo	bar	bar	bar
	bitmap	bitmap		inode	inode	data	data	data[0]	data[1]	data[2]
			read	read		read				
create		read		reau			read			
(/foo/bar)		write					write			
					read					
				write	write					
					read					
0	read									
write()	write							write		
					write			WIIIC		
		5-2			read					
/	read									
write()	write								write	
					write					
-					read					
2000 (0.00 (	read									
write()	write									
					write					write

Figure 40.4: File Creation Timeline (Time Increasing Downward)

- Open: 1) create a new inode for bar and update i-bitmap, 2) insert a new entry into foo's data block (10 I/Os for just creating a file)
- Write: 5 I/Os per a write (d-bitmap read/update, inode read/update, actual user data write)

## 40.7 Caching and Buffering

#### Issues

✓ Disk is too slow.

#### Solutions

- √ 1. Caching
  - Caching directories (e.g. / inode, / data, current directory, ...) in DRAM

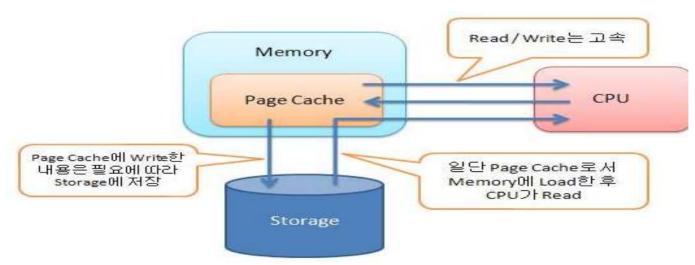
THE CRUX: HOW TO REDUCE FILE SYSTEM I/O COSTS

incurs a huge number of I/O operations, scattered over the disk. What

can a file system do to reduce the high costs of doing so many I/Os?

Even the simplest of operations like opening, reading, or writing a file

- Caching recently used file's inodes and data in DRAM
- Management: LRU (Least Recently Used) replacement policy, dynamic cache size management



(Source: http://www.atmarkit.co.jp/ait/articles/0810/01/news134\_2.html)

## 40.7 Caching and Buffering

#### Solutions

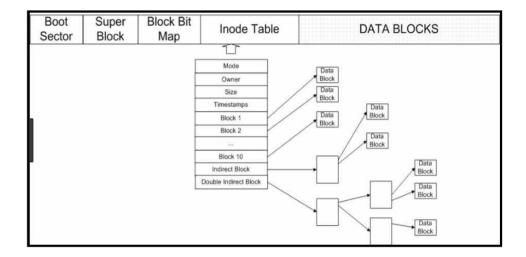
- ✓ 2. Write buffering (Delayed write)
  - Consolidate several writes into a single one: e.g.) d-bitmap
  - Schedule multiple writes so that they have less seek overhead: e.g.) bar data
  - Avoid writes: e.g.) temporary file (create and delete immediately)
  - Concern: Data loss due to power fault or crash → fsync() or direct I/O

	data	inode	root	foo	bar	root	foo	bar	bar	bar
	bitmap	bitmap		inode	inode	data	data	data[0]	data[1]	data[2]
			read	read		read				
create		read		1000			read			
(/foo/bar)		write					write			
					read					
				write						
	read				read					
write()	write							write		
				•	write					
	read			•	read					
write()	write								write	
					write	<u> </u>				
	read			•	read					
write()	write									write
					write					

Figure 40.4: File Creation Timeline (Time Increasing Downward)

### 40.8 Summary

- Device and Driver
- Disk: I/O rate and Scheduling
- File system
  - ✓ Interface
    - open(), read(), write(), ...
    - mkdir(), readdir(), ...
    - mount(), mknod(), ...
  - ✓ Layout
    - Data blocks
    - Inode, Bitmap, Superblock
    - Boot block



Importance of mental model for OS study (also system study)

#### ASIDE: MENTAL MODELS OF FILE SYSTEMS

As we've discussed before, mental models are what you are really trying to develop when learning about systems. For file systems, your mental model should eventually include answers to questions like: what on-disk structures store the file system's data and metadata? What happens when a process opens a file? Which on-disk structures are accessed during a read or write? By working on and improving your mental model, you develop an abstract understanding of what is going on, instead of just trying to understand the specifics of some file-system code (though that is also useful, of course!).



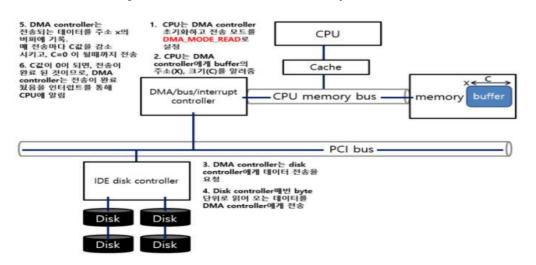
#### Quiz for this Lecture

#### Quiz

- ✓ 1. Discuss the merit/demerit of interrupt, compared with polling. What is the additional merit when we use DMA with interrupt?
- ✓ 2. Discuss the differences between a character device driver and block device driver (at least two differences)
- ✓ 3. Calculate the T<sub>seek</sub>, T<sub>rotation</sub>, T<sub>transfer</sub>, T<sub>I/O</sub> and R<sub>I/O</sub> for the random and sequential workload using Barracuda (hint: refer to 6~8 pages of the Chapter 37 in OSTEP).

✓ 4. Discuss why we need fsync(). (hint: using the term of buffer cache and

asynchronous write).



(Source: https://m.blog.naver.com/PostView.nhn?blogId=bycho211 &logNo=220975324334&proxyReferer=https:%2F%2Fwww.google.com%2F)

	Cheetah 15K.5	Barracuda
Capacity	300 GB	1 TB
RPM	15,000	7,200
Average Seek	4 ms	9 ms
Max Transfer	$125  \mathrm{MB/s}$	$105\mathrm{MB/s}$
Platters	4	4
Cache	16 MB	$16/32 \mathrm{MB}$
Connects via	SCSI	SATA

Figure 37.5: Disk Drive Specs: SCSI Versus SATA

	Cheetah	Barracuda
$R_{I/O}$ Random	0.66 MB/s	0.31 MB/s
$R_{I/O}$ Sequential	$125\mathrm{MB/s}$	$105\mathrm{MB/s}$

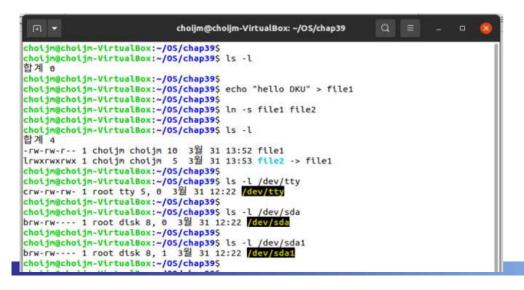
Figure 37.6: Disk Drive Performance: SCSI Versus SATA

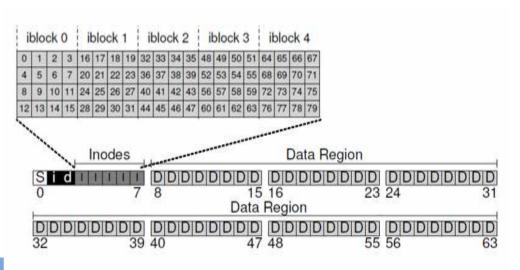


#### Quiz for this Lecture

#### Quiz

- ✓ 5. The below figure is the snapshot that I conduct with "Is –I" for "current directory", "/dev/tty", "/dev/sda", "/dev/sda1" in our Lab. environment. What are the meaning of "-", "I", "b", "c", "rw" "5", "8", "1" in the figure?
- ✓ 6. Discuss 4 components and their role when we create the VSFS using 
  "mkfs" command.
- √ 7. How many disk blocks are allocated from the data region when we create a file "Lab2\_report.doc" whose size is 75KB (disk block: 4KB, also explain which block is used for an index block).
- ✓ 8. When we read (or write) a file we need to access an inode and data alternately, which may cause a long seek distance. Propose your own idea for reducing this long seek overhead.





## Appendix 1

### mmap()

#### ASIDE: MMAP () AND PERSISTENT MEMORY (Guest Aside by Terence Kelly)

Memory mapping is an alternative way to access persistent data in files. The mmap () system call creates a correspondence between byte offsets in a file and virtual addresses in the calling process; the former is called the backing file and the latter its in-memory image. The process can then access the backing file using CPU instructions (i.e., loads and stores) to the in-memory image.

By combining the persistence of files with the access semantics of memory, file-backed memory mappings support a software abstraction called persistent memory. The persistent memory style of programming can streamline applications by eliminating translation between different data formats for memory and storage [K19].

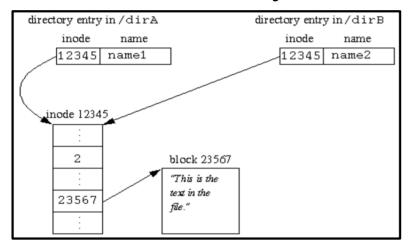
p = mmap(NULL, file\_size, PROT\_READ|PROT\_WRITE, 1 MAP SHARED, fd, 0); 2 assert (p != MAP\_FAILED); for (int i = 1; i < argc; i++) if (strcmp(argv[i], "pop") == 0) // pop if (p->n > 0) // stack not empty printf("%d\n", p->stack[--p->n]); } else ( // push 8 if (sizeof(pstack\_t) + (1 + p->n) \* sizeof(int) 9 <= file\_size) // stack not full 10 p->stack[p->n++] = atoi(argv[i]);11 12

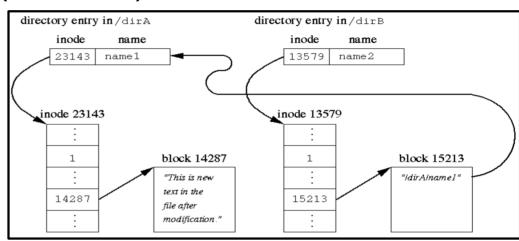
The program pstack.c (included on the OSTEP code github repo, with a snippet shown above) stores a persistent stack in file ps.img, which begins life as a bag of zeros, e.g., created on the command line via the truncate or dd utility. The file contains a count of the size of the stack and an array of integers holding stack contents. After mmap()-ing the backing file we can access the stack using C pointers to the in-memory image, e.g., p->n accesses the number of items on the stack, and p->stack the array of integers. Because the stack is persistent, data push'd by one invocation of pstack can be pop'd by the next.

A crash, e.g., between the increment and the assignment of the push, could leave our persistent stack in an inconsistent state. Applications prevent such damage by using mechanisms that update persistent memory atomically with respect to failure [K20].

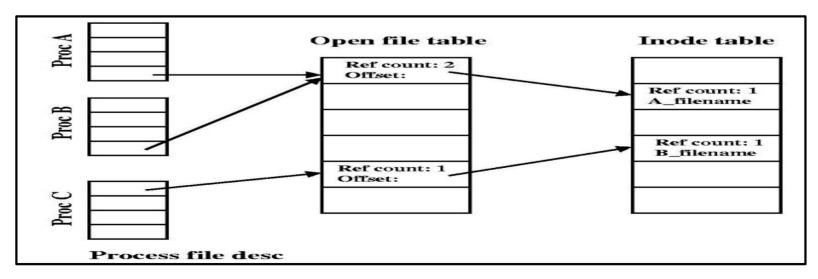
# Appendix 2

Hard link vs. Symbolic link(Soft link)





fd (file descriptor), file table and inode



(Source: http://classque.cs.utsa.edu/classes/cs3733/notes/USP-05.html)